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On the largest real root of the independence polynomial of a unicyclic graph

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Communicated by: Muhammad Kamran Jamil

Received: 18 June 2022; Accepted: 2 October 2022; Published: 31 December 2022.

Abstract: We find the maximum and minimum connected unicyclic and connected well-covered unicyclic graphs of a given order with respect to \leq . This extends 2013 work by Csikvári where the maximum and minimum trees of a given order were determined and also answers an open question posed in the same work. Corollaries of our results give the graphs that minimize and maximize $\zeta(G)$ among all connected (well-covered) unicyclic graphs. We also answer more related open questions posed by Oboudi in 2018 and disprove a conjecture due to Levit and Mandrescu from 2008. The independence polynomial of a graph G , denoted $I(G, x)$, is the generating polynomial for the number of independent sets of each size. The roots of $I(G, x)$ are called the *independence roots* of G . It is known that for every graph G , the independence root of smallest modulus, denoted $\zeta(G)$, is real. The relation \leq on the set of all graphs is defined as follows, $H \leq G$ if and only if $I(H, x) \geq I(G, x)$ for all $x \in [\zeta(G), 0]$.

Keywords: Independence polynomial; Independence root; Smallest modulus; Independent set.

MSC: 05C31; 05C69.

All graphs considered in this paper are finite, simple, and undirected. For a vertex v of a graph G , the *closed neighbourhood* of v , is the set $N[v] = \{u \in V(G) : u \sim v\} \cup \{v\}$ and for an edge $e = uv$, the closed neighbourhood is the set $N[e] = N[u] \cup N[v]$. For $S \subseteq V(G)$, $G[S]$ denotes the subgraph induced by S . For $S \subseteq V(G)$, let $G - S$ denote the graph $G[V(G) - S]$. If $S = \{v\}$, then we simply write $G - v$ instead of $G - \{v\}$. For an edge $e \in E(G)$, the graph $G - e$ is the graph obtained from G by removing the edge e . For a pair of nonadjacent vertices u and v in $V(G)$, the graph $G + uv$ is the graph obtained from G by adding the edge uv . The *disjoint union* of two graphs G and H , denoted $G \cup H$ is the graph $(V(G) \cup V(H), E(G) \cup E(H))$, and kG denoted the disjoint union of k copies of G . A subset of vertices of a graph G is called *independent* if the all the vertices in the subset are pairwise nonadjacent. The *independence number* of G is the size of the largest independent set in G and is denoted by $\alpha(G)$. The *independence polynomial* of G , denoted by $I(G, x)$ is defined by

$$I(G, x) = \sum_{k=0}^{\alpha} i_k x^k,$$

where i_k is the number of independent sets of size k in G . The roots of $I(G, x)$ are called the *independence roots* of G and they, along with other properties of the independence polynomial, have been subject to much investigation since the introduction of $I(G, x)$ in 1983 by Gutman and Harary [1]. The independence polynomial generalizes the matching-generating polynomial, but where the latter has all real roots [2], the former has roots dense in \mathbb{C} [3]. However, when restricting G to the class of claw-free graphs, Chudnovsky and Seymour [4] showed that G has all real independence roots. Moreover, for every graph, the independence root of smallest modulus is always real and in the interval $[-1, 0)$ [5]. For a graph G let $\zeta(G)$ denote the independence root of smallest modulus. Note that since $\zeta(G)$ is always a negative real number, it is equivalently referred to as the largest real independence root.

One question of interest is: given a family of graphs, what is the maximum/minimum modulus of an independence root of a graph in that family? Linear bounds on the maximum modulus of independence roots

of well-covered graphs of fixed order [6] and respective exponential bounds are known for all graphs and forests of a fixed order [7]. Although the bounds in all cases are tight asymptotically, it remains unknown which graph (or family of graphs) exactly achieves the maximum values. Only recently have bounds on the independence root of smallest modulus in a family of graphs been considered, and in these cases the graphs with this minimum value can be found. For example, if T is a tree of order n , then Csikvári [8] showed, using their remarkable generalized tree shift [9], that $\zeta(P_n) \leq \zeta(T) \leq \zeta(S_n)$ where P_n and S_n are the path and star of order n , respectively. It follows from this and a result in [10] that among all connected graphs G of order n , $\zeta(P_n) \leq \zeta(G) \leq \zeta(K_n)$, where K_n is the complete graph of order n . However, the problem is open for all other families of graphs.

To find graphs with maximum and minimum values of ζ in a given family, Csikvári [8] introduced (see also [11]) the relation \leq on the set of all graphs, defined as follows,

$$H \leq G \text{ if and only if } I(H, x) \geq I(G, x) \text{ for all } x \in [\zeta(G), 0].$$

Since for every $x \in (\zeta(G), 0]$, $I(G, x) > 0$, it follows that $H \leq G$ implies $\zeta(H) \leq \zeta(G)$. This stronger relation affords some advantages so we will work with this instead of directly trying to order graphs by their largest real root. One of these advantages is the ability to track graphs under \leq subject to small local changes, like deleting and adding edges. We also note that the relation \leq can be made strict in the following sense, $H < G$ if and only if $H \leq G$ and $I(H, x) \neq I(G, x)$. This can require knowing when two graphs share an independence polynomial which is another recent area of interest on the independence polynomial.

We say that two graphs G and H are *independence equivalent*, denoted $G \sim H$, if they have the same independence polynomial. For example, $C_n \sim D_n$ [12] where D_n is the graph in Figure 1. Independence equivalence is clearly an equivalence relation on the set of all unlabelled graphs, so we define the *independence equivalence class* of a graph G , denoted $[G]$, to be the set of all graphs that are independence equivalent to G . A graph G is called *independence unique* if $[G] = \{G\}$. Interest in independence equivalence problems has been growing recently. Oboudi [12] classified all connected graphs G such that $G \sim C_n$. Li *et al.*, [13] showed P_n is independence unique among all connected graphs. With Brown, the authors extended this work by considering graphs in $[C_n]$ and $[P_n]$ that are disconnected [14]. Very recently, Ng [15,16] completely determined $[C_n]$ and $[P_n]$ for all n . Independence equivalence was also vital for Barik *et al.*'s work determining all graphs whose independence fractals are line segments [17].

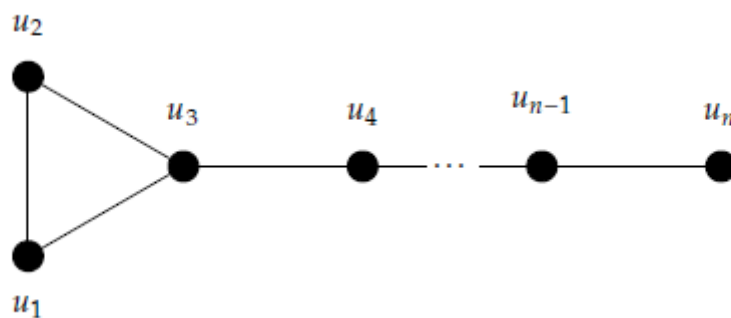


Figure 1. The graph D_n

Let \mathcal{A} be the set of all distinct independence equivalence classes of all graphs. Although not all elements of \mathcal{A} are related via \leq , (see for example $[\overline{K_3}]$ and $[K_2]$), we still have the following. The relation \leq on \mathcal{A} is clearly reflexive and antisymmetric and Csikvári [8] showed transitivity, so we have the following.

Theorem 1 ([8]). (\mathcal{A}, \leq) is a partial order.

This paper is structured as follows: We find the maximum and minimum graphs with respect to \leq among all connected unicyclic graphs of a given order in §1 which answers an open question posed in [10]. Then, in §2, we use characterizations from [18] and [19] for well-covered trees and well-covered unicyclic graphs,

respectively, to find the maximum and minimum graphs for both of these well-covered families with respect to \leq . In §3, we provide an infinite family of counterexamples to a conjecture due to Levit and Mandrescu [20,21]. These counterexamples also serve as answers two open questions in [10]. We then disprove a conjecture of Oboudi [10] by showing that \leq is not a total order on the set of independence equivalence classes of all trees of fixed order. We conclude with some open problems.

1. Unicyclic graphs

In this section we focus our attention on connected unicyclic graphs, answering the following question. We note that being able to generate all connected unicyclic graphs of small order using nauty [22] was invaluable for building intuition for our results in this section and the rest of the paper.

Question 1 ([10]). What are the maximal and minimal elements with respect to \leq among the family of connected unicyclic graphs?

Before we can answer this question though, we will need a few more preliminary results.

Theorem 2 ([8]). *If G is a graph with H a proper subgraph of G , then $H < G$.*

Theorem 3 ([10]). *Let G and H be graphs with $u \in V(G)$, $e \in E(G)$, $v \in V(H)$, and $f \in E(H)$. Then the following hold:*

- (i) *If $H - v \leq G - u$ and $G - N[u] \leq H - N[v]$, then $H \leq G$.*
- (ii) *If $H - f \leq G - e$ and $G - N[e] \leq H - N[f]$, then $H \leq G$.*

Moreover, if either of the relations are strict in the hypothesis of (i) (resp. (ii)), then $H < G$.

Let G be a graph with $\Delta(G) \geq 3$, $\delta(G) = 1$, and let $u \in V(G)$ be a leaf. Let v be a vertex in G with $\deg(v) \geq 3$ such that it has the shortest distance to u among all vertices with degree at least 3. Let $w \neq u$ be a neighbour of v not on the path from u to v . Note that such a neighbour always exists as $\deg(v) \geq 3$. Define $G_{u,v,w}^* = (G - vw) + uw$. Note that G is connected if and only if $G_{u,v,w}^*$ is connected. Furthermore it can easily be seen that $G_{u,v,w}^*$ has one fewer leaf than G . This graph operation is illustrated in Figure 2.

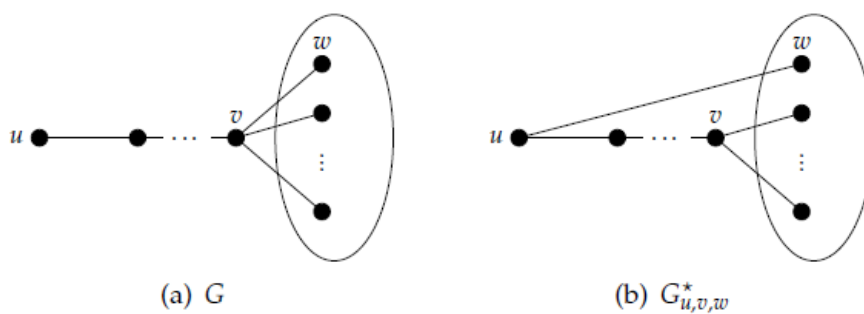


Figure 2. A graph operation for graphs with $\Delta(G) \geq 3$ and $\delta = 1$.

Theorem 4 ([10]). *If G is a graph with u, v, w as above, then $G_{u,v,w}^* \leq G$.*

Theorem 5 ([12]). *If G is a connected unicyclic graph with $I(G, x) = I(C_n, x)$, then $G = C_n$ or $G = D_n$.*

Let U_n be the graph on n vertices obtained by attaching $n - 3$ vertices with an edge each to one vertex of a triangle, see Figure 3. Note that $I(U_n, x) = (1 + 2x)(1 + x)^{n-3} + x$ as every independent set is either the universal vertex or a subset of the $n - 3$ leaves with at most one of the other two vertices in the triangle.

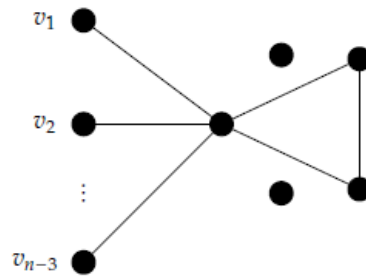


Figure 3. The graph U_n

Lemma 1. *If G is a graph such that $I(G, x) = I(U_n, x)$, then $G \cong U_n$ or $n = 4$ and $G \cong C_4$.*

Proof. When $n = 4$, we are done as $U_4 = D_4$, so $[U_4] = \{C_4, U_4\}$ from Theorem 5. So suppose $n \geq 5$. We know that G and U_n must have the same number of vertices and edges as every subset of order 1 is independent and the only subsets of order 2 which are not independent are edges. Furthermore, since $I(U_n, x) = I(G, x) = (1 + 2x)(1 + x)^{n-3} + x$, G has independence number $n - 2$, and 2 maximum independent sets. Let A and B be the two independent sets of order $n - 2$.

Case 1: $|A \cap B| = n - 3$.

In this case, let b be the unique vertex in $B \setminus A$, a be the unique vertex in $A \setminus B$, and v be the unique vertex in $V(G) \setminus (A \cup B)$. Since $\alpha(G) = n - 2$, it follows that $a \sim b$ and the subgraph induced by $A \cup B$ has exactly one edge. Therefore, every other edge in the graph must be incident with v . There are $n - 1$ options for these edges and there are $n - 1$ edges to account for, so v is adjacent to every vertex in $A \cup B$. Therefore $G \cong U_n$.

Case 2: $|A \cap B| = n - 4$.

In this case, $B \setminus A$ and $A \setminus B$ each contain exactly two vertices and $A \cup B = V(G)$. Since A and B are independent sets, the only edges in the graph are between vertices in $A \setminus B$ and vertices in $B \setminus A$. Therefore G has at most 4 edges which is a contradiction as G has $n \geq 5$ edges. □

We are now ready to provide the maximum and minimum connected unicyclic graphs with respect to \leq .

Theorem 6. *If G is a connected unicyclic graph on n vertices such that $G \not\cong C_n$, $G \not\cong D_n$, and $G \not\cong U_n$, then $C_n < G < U_n$.*

Proof. Let G be a connected unicyclic graph of order n . We first show that $C_n \leq G$. We proceed by induction on the number of leaves in G . If G has no leaves, then $G \cong C_n$ so clearly $C_n \leq G$. Now suppose $C_n \leq G$ for all connected unicyclic graphs with at most $k \geq 0$ leaves and let G be a connected unicyclic graph with $k + 1$ leaves. Since G has at least one leaf, G also contains at least one vertex of degree 3 or more. Let $u \in V(G)$ be a leaf and let $x \in V(G)$ be the vertex on the cycle of G that is the shortest distance from u (note $\deg(x) \geq 3$). Let T be the tree of G induced by all vertices such that there exists a path from u to using either no vertices from the cycle or only x from the cycle. Let $v \in V(G)$ have degree at least 3 and be of shortest distance to u among all such vertices in G . Note that $v \in V(T)$.

Case 1: $v = x$.

In this case, let w be a neighbour of x on the cycle. Then, since $G - vw$ is a tree, the graph $G - vw + uw$ is a connected unicyclic graph with one less leaf than G . Thus, by Theorem 4 and the inductive hypothesis, we have $C_n \leq G_{u,v,w}^* \leq G$.

Case 2: $v \neq x$.

Let w be a neighbour of v with degree at least 2 that is not on the shortest path between u and v . Note that such a w exists and is in $V(T)$, otherwise there is no path in T between v and x which contradicts G being connected. Now the graph $T + uw$ has a unique cycle that includes both uw and vw by our choice of w , so the graph $T - vw + uw$ is a tree and since w had degree at least 2, $T - vw + uw$ has one less leaf than T and therefore

$G_{u,v,w}^*$ is a connected unicyclic graph with one less leaf than G . Therefore, by Theorem 4 and the inductive hypothesis we have $C_n \leq G_{u,v,w}^* \leq G$.

We now show that $G \leq U_n$ by induction on n . When $n = 3$, the only unicyclic graph is $C_n = U_n$ so there is nothing to show. Suppose $H \leq U_k$ for all connected unicyclic graphs H of order k and all $3 \leq k < n$. Now consider the connected unicyclic graph G of order n . From above, we may assume $G \not\cong C_n$, so G has at least one leaf. Let v be a leaf of G and u be a leaf of U_n . Now, $G - v$ is a connected unicyclic graph of order $n - 1$ and $U_n - u = U_{n-1}$ so $G - v \leq U_n - u$ by the inductive hypothesis. We also have that $G - N[v]$ is a graph of order $n - 2$ and $U_n - N[u] = \overline{K_{n-4}} \cup K_2$. It is not difficult to see that for any connected unicyclic graph of order n , its maximum degree is at most $n - 1$, with equality achieved only for U_n . Therefore, there are at most $n - 1$ edges incident with vertices in $N[v]$, so G has at least one edge. Hence, $U_n - N[u]$ is a subgraph of $G - N[v]$ and by Theorem 2, $U_n - N[u] \leq G - N[v]$. Thus, by Theorem 3, $G \leq U_n$.

Therefore, $C_n \leq G \leq U_n$ for every connected unicyclic graph G . Finally, by Lemma 1 and Theorem 5, we have $C_n < G < U_n$. □

Corollary 1. *If G is a connected unicyclic graph of order n , then*

$$\xi(C_n) \leq \xi(G) \leq \xi(U_n).$$

2. Well-covered trees and unicyclic graphs

A graph on n vertices is called *well-covered* if all of its maximal independent sets have the same size. It is called *very well-covered* if all maximal independent sets are of size $n/2$. One construction that always produces a very well-covered graph is the graph star operation. Let G be any graph. Form G^* , the *graph star* of G (sometimes also called the *corona* of G with K_1) from G by attaching, for each vertex v of G a new vertex v^* to v with an edge (an edge incident with a leaf is called a *pendant edge*), see Figure 4. It is not difficult to see that the graph G^* is very well-covered for any graph G . As might be expected, independence polynomials of (very) well-covered graphs have been of particular interest. Given the restricted structure of their independent sets, well-covered graphs can have independence polynomials that behave quite differently from those of general graphs. For example, among all graphs of order n , the maximum modulus of an independence root is $O(3^{\frac{n}{3}})$ [7], but among all well-covered graphs of order n , all independence roots are contained in the disk $|z| < n$ [6].

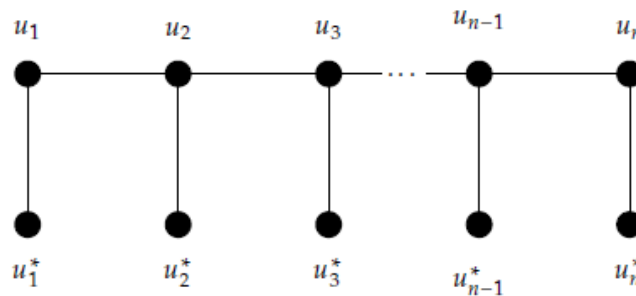


Figure 4. P_n^*

2.1. Well-covered trees

Well-covered trees have a particularly nice characterization in terms of their leaves that we will make use of.

Theorem 7 ([18]). *Let G be a connected graph with girth at least 6 which is isomorphic to neither C_7 nor K_1 . Then G is well-covered if and only if its pendent edges form a perfect matching.*

Corollary 2. *A tree T with at least 2 vertices is well-covered if and only if $T = T'^*$ for some tree T' .*

The independence polynomial of G^* can be expressed in terms of the independence polynomial of G by the following result.

Theorem 8 ([23]). *If G is a graph of order n , then*

$$I(G^*, x) = (1 + x)^n I\left(G, \frac{x}{1 + x}\right).$$

We now have a helpful reduction when trying to determine when two graph stars are independence equivalent.

Proposition 1 ([23]). *Let G and H be graphs. Then $I(G, x) = I(H, x)$ if and only if $I(G^*, x) = I(H^*, x)$.*

The real power of Theorem 8, however, is the relationship it describes between the independence roots of G^* and G .

Lemma 2. *Let G and H be graphs of order n . Then $H \leq G$ (resp. $H < G$) if and only if $H^* \leq G^*$ (resp. $H^* < G^*$).*

Proof. Note that from Theorem 8 we know that the roots of $I(G^*, x)$ are $\frac{r}{1-r}$ for all roots r of $I(G, x)$ along with -1 with multiplicity $n - \alpha(G)$. Note that if $r_1 < r_2 < 0$, then $\frac{r_1}{1-r_1} < \frac{r_2}{1-r_2}$. Therefore as $\zeta(G) \geq -1$ it follows that $\zeta(G^*) = \frac{\zeta(G)}{1-\zeta(G)} \geq -\frac{1}{2}$. Furthermore $x \in [\zeta(G^*), 0]$ if and only if $\frac{x}{1+x} \in [\zeta(G), 0]$. Since $\zeta(G^*) \geq -\frac{1}{2}$, it follows that $(1 + x)^n > 0$ for all $x \in [\zeta(G^*), 0]$. Therefore, $I(H, \frac{x}{1+x}) \geq I(G, \frac{x}{1+x})$ for all $\frac{x}{1+x} \in [\zeta(G), 0]$ if and only if $(1 + x)^n I(H, \frac{x}{1+x}) \geq (1 + x)^n I(G, \frac{x}{1+x})$ for all $x \in [\zeta(G^*), 0]$. Since $I(G^*, x) = (1 + x)^n I(G, \frac{x}{1+x})$ and $I(H^*, x) = (1 + x)^n I(H, \frac{x}{1+x})$ by Theorem 8, it follows that $H \leq G$ if and only if $H^* \leq G^*$. From this and Proposition 1 it follows that $H < G$ if and only if $H^* < G^*$. \square

From Lemma 2 and Theorem 7, the function $f(T) = T^*$ between the set of all trees of order n and the set of all well-covered trees of order $2n$ is a bijection that preserves \leq .

Theorem 9 ([10]). *If G is a tree of order n not equal to P_n or S_n , then $P_n < T < S_n$.*

Corollary 3. *Let T be a well-covered tree of order $2n$ such that $T \neq P_n^*$ and $T \neq S_n^*$. Then $P_n^* < T < S_n^*$.*

Corollary 4. *If G is a well-covered tree of order $2n$, then*

$$\zeta(P_n^*) \leq \zeta(G) \leq \zeta(S_n^*).$$

2.2. Well-covered unicyclic graphs

We turn our attention now to well-covered unicyclic graphs. Let \mathcal{KU} be the family of all graphs of the form G^* where G is a connected unicyclic graph. Unlike well-covered trees, there are well-covered unicyclic graphs that do not belong to \mathcal{KU} . In particular, there are well-covered unicyclic graphs of odd order, so finding the maximum and minimum well-covered unicyclic graphs of order n will require more sophisticated techniques than simply applying Lemma 2, although this lemma will be useful. We need to define a few more families of graphs before we can state Topp and Volkmann’s [19] characterization of connected well-covered unicyclic graphs.

Let G be a graph and $S \subset V(G)$ such that $\deg_{G[S]}(u) = \deg_G(u)$ for all but exactly one vertex x in S where $\deg_{G[S]}(x) < \deg_G(x)$. We call S a *well-covered branch (induced by x)* if a well-covered tree can be obtained by adding a leaf to x in $G[S]$ and S is the maximal set having these properties. For example, in each of the graphs shown in Figure 5 the vertices of T_1^*, \dots, T_k^* together with x form a well-covered branch induced by x . Note that in Figure 5 (b), the leaf adjacent to x is not included in the well-covered branch induced by x . Also note that $G[S - \{x\}] \cong F^*$ for some forest F . We say x *induces a well-covered branch isomorphic to F^** if $G[S - \{x\}] \cong F^*$. Furthermore note that x is not adjacent to any leaves of F^* .

Let \mathcal{S}_3 be the class of all graphs that can be obtained from a C_3 by allowing exactly 1 or 2 vertices in the 3-cycle to induce any well-covered branch. Let \mathcal{S}_4 be the class of all graphs of the form $(T \cup K_2) + au + bv$ where

T is a well-covered tree, uv is a non-pendant edge of T and the vertex set of the K_2 component is $\{a, b\}$. Let \mathcal{S}_5 be the class of all graphs that can be obtained from a C_5 by allowing exactly 1 or 2 nonadjacent vertices in the 5-cycle to induce any well-covered branch. See Figure 5 for some general examples of these graphs. The graph classes $\mathcal{S}_3, \mathcal{S}_4$, and \mathcal{S}_5 were first defined in [19], where the following characterization theorem was also given.

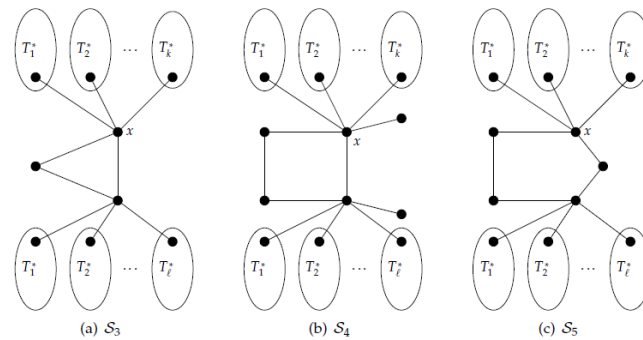


Figure 5. The general form of graphs in $\mathcal{S}_3, \mathcal{S}_4$, and \mathcal{S}_5 where T_i and T'_i are trees for all i .

Theorem 10 ([19]). *A graph G is a connected well-covered unicyclic graph if and only if*

$$G \in \{C_3, C_4, C_5, C_7\} \cup \mathcal{S}_3 \cup \mathcal{S}_4 \cup \mathcal{S}_5 \cup \mathcal{KU}.$$

Note that all graphs in \mathcal{S}_3 and \mathcal{S}_5 have odd order while all graphs in \mathcal{S}_4 have even order by definition.

2.2.1. Even order

For our results in this section we will require some technical lemmas. The first is a result similar to Theorem 4 that allows us to make small local changes to a graph that results in a graph which is smaller with respect to \leq . Unlike Theorem 4 though, this new operation will allow for the preservation of well-coveredness.

Let G be a graph with a well-covered branch S induced by x such that there are at least two vertices $a, b \in S - \{x\}$ such that $\deg_G(a) = \deg_G(b) = 2$. Note that if there is exactly one vertex whose degree is 2, then $G[S - \{x\}] \cong P_{\lfloor |S|/2}^*$ and the unique neighbour of x in $S - \{x\}$ has degree 3 in G . Let $u, v \in S$ such that $\deg_G(u) = 2$ and v is a vertex with $\deg_{G[S]}(v) \geq 4$ at shortest distance to u among all vertices with degree at least 4 in $G[S]$. If no such v exists or v is further from u than x , then assign $v = x$. Note if $v = x$ then $\deg_{G[S]}(x) \geq 2$ and therefore $\deg_G(x) \geq 3$. Let $w \in S$ be a non-leaf neighbour of v not on the path from u to x . We define the *dagger operation* on the graph G , denoted $G_{u,v,w}^+$ to be the the graph $G - vw + uw$. Note that S is still a well-covered branch in $G_{u,v,w}^+$. See Figure 6 for an illustration of this operation.

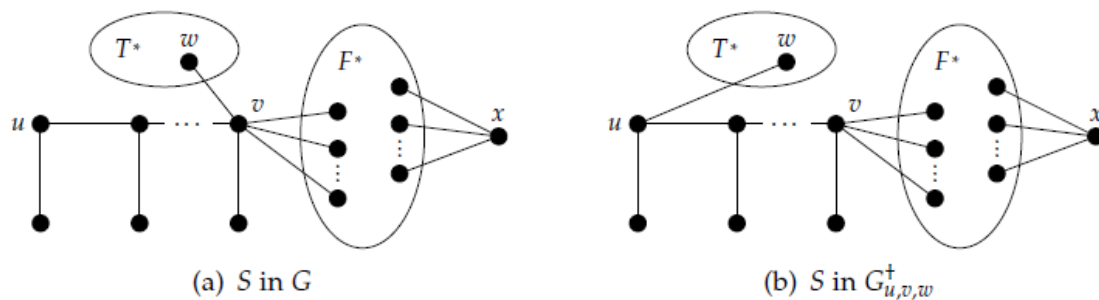


Figure 6. Illustration of the dagger operation.

Lemma 3. *If G is a graph as above, then $G_{u,v,w}^+ \leq G$.*

Proof. Let G be a graph with S a well-covered branch induced by x such that there are at least two vertices $a, b \in S - \{x\}$ such that $\deg_G(a) = \deg_G(b) = 2$. Let and $u, v, w \in V(G)$ as required in the definition of the dagger operation. Let T be the collection of vertices in S whose shortest path to u does not contain v . Note $G[T] \cong P_k^*$ for some $k > 0$. Also let $H = G - T$. It is clear that $G - vw = G_{u,v,w}^+ - uw$, so $G - vw \geq G_{u,v,w}^+ - uw$. Now by Theorem 3, it suffices to show $G - N[vw] \leq G_{u,v,w}^+ - N[uw]$. Furthermore by Theorem 2 it suffices to show $G_{u,v,w}^+ - N[uw]$ has a subgraph isomorphic to $G - N[vw] \cong P_{k-1}^* \cup K_1 \cup (H - N[v] \cup N[w])$. Clearly $H - N[v] \cup N[w]$ is a subgraph of $G_{u,v,w}^+ - N[uw]$. Now with the remaining vertices we can obtain $P_{k-1}^* \cup K_1$ from the $P_{k-2}^* \cup K_1$ left from T after deleting $N[u]$ together with v and one of its neighbours not in T . Note if $v \neq x$, v necessarily has a leaf neighbour not in T , and if $v = x$ then x has a neighbour not in S which was otherwise deleted in $G - N[vw]$. Therefore $G - N[vw]$ is a subgraph of $G_{u,v,w}^+ - N[uw]$. \square

Let G be a graph with well-covered branch S induced by x . Note $G_{u,v,w}^+$ reduces the number of degree 2 vertices in S while keeping it a well-covered branch. It follows that repeated applications of the previous lemma allows the $S - x$ to be replaced by P_n^* for the appropriate value of n . This gives the next technical lemma.

Lemma 4. *Let G be a connected well-covered unicyclic graph with a well-covered branch S induced by x . Let the graph H be obtained from G by rearranging the edges induced by $S - \{x\}$ such that x now induces the well-covered branch S such that $\deg_H(u) = 2$ for exactly one vertex $v \in S - \{x\}$. Then $H \leq G$.*

Proof. We shall induct on the number of degree 2 vertices in $S - \{x\}$. Note that by definition $\deg_{G[S]}(v) = \deg_G(v)$ for all $v \in S - \{x\}$. If $S - \{x\}$ has one vertex of degree two, then $H \cong G$ so $H \leq G$, trivially. Now suppose $S - \{x\}$ has $k > 1$ vertices of degree two. Therefore there exists $u, v, w \in S$ as in the definition of the dagger operation to obtain $G_{u,v,w}^+$. By Lemma 3, $G_{u,v,w}^+ \leq G$. The only vertices in $S - \{x\}$ with different degrees in G and $G_{u,v,w}^+$ are u and, if $v \neq x$, v . Furthermore u and v both have degree at least three in $G_{u,v,w}^+$, so there are fewer vertices of degree 2 in $S - \{x\}$ in $G_{u,v,w}^+$ than there are in $S - \{x\}$ in G . Therefore our inductive hypothesis applies to give $H \leq G_{u,v,w}^+ \leq G$. \square

Theorem 11. *If G is a connected well-covered unicyclic graph of order $2n$, $n \geq 3$, then*

$$C_n^* \leq G \leq U_n^*.$$

Proof. Suppose $G \in \mathcal{KU}$, i.e. $G = H^*$ for some connected unicyclic graph H , then the result follows by Lemma 2 and Theorem 6. Now suppose $G \notin \mathcal{KU}$, then by Theorem 10 $G \in \mathcal{S}_4$.

We first show that $G \leq U_n^*$. Let a, b, u, w be the vertices of the cycle in G such that $N_G(a) = \{u, b\}$ and $N_G(b) = \{a, w\}$. Let F be the graph $G - bw + aw$. Therefore, $F_{b,a,w}^* = G$ so by Theorem 4,

$$G \leq F. \tag{1}$$

Also note that $F = H^*$ for some connected unicyclic graph of order n and girth 3, so by Lemma 2 and Theorem 6,

$$F \leq U_n^*. \tag{2}$$

By Theorem 1 and (1) and (2), it follows that $G \leq U_n^*$.

We now show that $C_n^* \leq G$. Recall $G \in \mathcal{S}_4$. By Lemma 4 it suffices to assume G is isomorphic to $(K_2 \cup P_k^* \cup P_\ell^*) + ua + vb + uw$ where v is a degree 2 vertex in P_ℓ^* , u is a degree 2 vertex in P_k^* , and the vertex set of K_2 is equal to $\{a, b\}$. Note that $k + \ell = n - 1$. Let $f \in E(C_n^*)$ be an edge on the cycle. Now $G - au$ is a well-covered tree of order $2n$ and $C_n^* - f = P_n^*$, so by Corollary 3, $C_n^* - f \leq G - au$. On the other hand, $G - N[au] \cong P_{\ell-1}^* \cup P_{k-2}^* \cup \overline{K_2}$, which is clearly a subgraph of $P_{n-4}^* \cup \overline{K_2} \cong C_n^* - N[f]$. So by Theorem 2 and Theorem 3, $C_n^* \leq G$. \square

Corollary 5. *If G is a connected well-covered unicyclic graph of order $2n$, $n \geq 3$, then*

$$\xi(C_n^*) \leq \xi(G) \leq \xi(U_n^*).$$

2.2.2. Odd order

For odd n , let M_n be the graph obtained from $U_{\frac{n+1}{2}}$ by subdividing each of its pendant edges, see Figure 7.

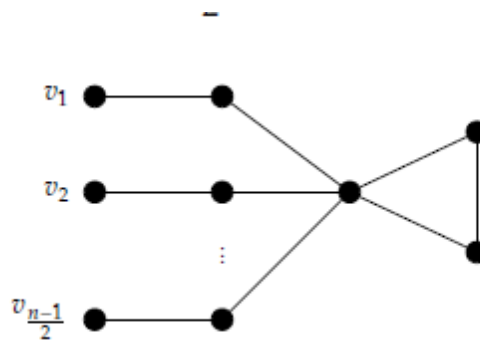


Figure 7. The graph M_n .

We require one more result on computing the independence polynomial before we can prove that M_n is the maximum graph.

Theorem 12 ([24]). *If G is a graph with C a complete subgraph, then*

$$I(G, x) = I(G - C, x) + x \sum_{v \in V(C)} I(G - N[v], x).$$

Theorem 13. *If n is odd and G is a connected well-covered unicyclic graph of order n , then $G \leq M_n$.*

Proof. Let $n \geq 3$ be odd and G be a connected well-covered unicyclic graph of order n . The proof is in cases depending on the number of vertices of degree greater than 2 in the cycle of G .

Case 1: The cycle of G has exactly 1 vertex of degree greater than 2.

Suppose that $\text{girth}(G) = 3$ and let v be a vertex of degree 2 on the cycle. Let u be a vertex of degree 2 on the cycle in M_n . By definition of graphs in S_3 , $G - v$ is a well-covered tree of order $n - 1$ and $M_n - u = S_{\frac{n-1}{2}}^*$. Hence, by Corollary 3, $G - v \leq M_n - u$. On the other hand, $G - N[v]$ is a well-covered forest of order $n - 3$ and $M_n - N[u] = \frac{n-3}{2}K_2$. Thus, by Corollary 2, $M_n - N[u]$ is a subgraph of $G - N[v]$. So by Theorem 2, $M_n - N[u] \leq G - N[v]$. Finally, by Theorem 3, $G \leq M_n$. We note that if $\text{girth}(G) = 5$, then a similar argument shows that $G \leq M_n$ by choosing u to be a vertex of degree 2 with all neighbours of degree 2 on the cycle of G .

Case 2: The cycle of G has exactly 2 vertices of degree greater than 2.

We first suppose that $\text{girth}(G) = 3$. Let $\{u, v, w\}$ be the vertices of the 3-cycle in G such that $\text{deg}(w) = 2$. From Theorem 10, G has two well-covered branches S_1 and S_2 induced by v and u , respectively. Let $G_1 = G[S_1 - \{v\}]$ and $G_2 = G[S_2 - \{u\}]$. Note $G_1 = F_1^*$ and $G_2 = F_2^*$ where F_1 and F_2 are forests. Suppose $|V(G_1)| = 2k$ and $|V(G_2)| = 2\ell$ for some positive integers k and ℓ . Now let G' be the graph obtained from G by deleting all non-pendant edges from G_2 and then joining a single vertex in every resulting K_2 component to u (i.e. replacing G_2 with kK_2 and joining a vertex from each K_2 to u , see Figure 8). We now have,

$$I(G - v, x) - I(G' - v, x) = I(G_1, x) (I(G[V(G_2) \cup \{w\}], x) - I(S_{\ell+1}^*, x)). \tag{3}$$

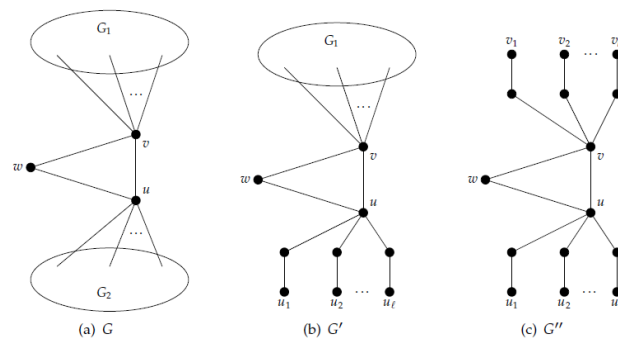


Figure 8. Graphs used in the proof of Theorem 13.

It is clear that $G[V(G_2) \cup \{w\}]$ is a well-covered tree of order $2\ell + 2$, so by Corollary 3 it follows that $G[V(G_2) \cup \{w\}] \leq S_{\ell+1}^*$. Since G_1 is a subgraph of $G' - v$, it follows that $\zeta(G_1) \leq \zeta(G' - v)$, so $I(G_1, x) \geq 0$ for all $x \in [\zeta(G' - v), 0]$. Therefore, (3) is at least 0 so $G - v \leq G' - v$. Further,

$$I(G - N[v], x) - I(G' - N[v], x) = I(G_1 - N[v], x) (I(G_2, x) - I(\ell K_2, x)). \tag{4}$$

Since $G_1 - N[v]$ is a subgraph of $G' - N[v]$, it follows that $\zeta(G_1 - N[v]) \leq \zeta(G' - N[v])$, so $I(G_1 - N[v], x) \geq 0$ for all $x \in [\zeta(G' - N[v]), 0]$. Also, G_2 has a perfect matching, so ℓK_2 is a subgraph of G_2 . So by Theorem 2, $I(G_2, x) - I(\ell K_2, x) \leq 0$ for all $x \in [\zeta(G' - N[v]), 0]$. Thus, from (4), $G' - N[v] \leq G - N[v]$. Finally, we can conclude that $G \leq G'$ by Theorem 3.

Let G'' be the graph obtained from G' by deleting all non-pendant edges from G_1 and then joining a single vertex in any resulting K_2 components to v , see Figure 8. From the work done in the last few paragraphs, it follows that $G' \leq G''$.

To complete the proof, all that remains is to show that $G'' \leq M_n$. We apply Theorem 12 to G'' and M_n where the clique chosen in each case is the unique triangle. With these reductions it follows that for all $x \in [\zeta(M_n), 0]$,

$$I(G'', x) - I(M_n, x) = x (I(\ell K_2, x) - I(\ell K_1, x)) (I(k K_1, x) - I(k K_2, x)) \geq 0.$$

Therefore, $G'' \leq M_n$.

Except for the application of Theorem 12, every step in Case 2 can be done similarly if $\text{girth}(G) = 5$. In other words, it suffices to show for every graph $F \in \mathcal{S}_5$ of the form depicted in Figure 9 that $F \leq G''$ where G'' is the graph from earlier in the proof, see Figure 8. However, $G''_{v_k, v, y} = F$, so $F \leq G''$ by Theorem 4.

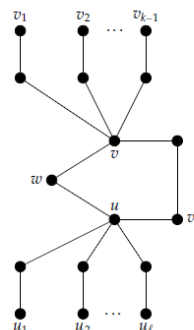


Figure 9. $F \in \mathcal{S}_5$.

□

Let $G(g, k, \ell)$ be a graph obtained from a cycle $C = \{c_1, c_2, \dots, c_g\}$, $g = 3$ or $g = 5$, where c_1 and c_3 each induce well-covered branches isomorphic to P_k^* and P_ℓ^* respectively and the unique neighbour of c_1 in the P_k^*

has degree 3 in $G(g, k, \ell)$, respectively for c_3 and its unique neighbour in the P_ℓ^* . We require that $k > 0$ or $\ell > 0$. Let \mathcal{S}_P be the set of all $G(g, k, \ell)$ for every combination of g, k, ℓ . Note that $\mathcal{S}_P \subseteq \mathcal{S}_3 \cup \mathcal{S}_5$. Examples of graphs in \mathcal{S}_P can be found throughout the proof of Lemma 5 in Figures 10 to 13.

We will now show that every graph of equal order in \mathcal{S}_P has the same independence polynomial. First we will require the following result.

Proposition 2 ([1]). *Let G and H be graphs and $v \in V(G)$. Then*

- (i) $I(G, x) = I(G - v, x) + xI(G - N[v], x)$,
- (ii) $I(G \cup H, x) = I(G, x) \cdot I(H, x)$.

Lemma 5. *Let $G, H \in \mathcal{S}_P$. If G and H have the number of vertices then $I(G, x) = I(H, x)$.*

Proof. Recall $G \sim H$ denotes that G and H are independence equivalent. Note that for vertices $u \in V(G)$ and $v \in V(H)$, if $G - u \sim H - v$ and $G - N[u] \sim H - N[v]$ then $G \sim H$ by Proposition 2. We will begin by showing $G(3, k + \ell, 0) \sim G(3, k, \ell)$ for all $k, \ell > 0$. It is sufficient to show for every fixed $k > 0$, $G(3, k + \ell, 0) \sim G(3, k, \ell)$ for all $\ell > 0$. We will show this through induction on ℓ .

Fix a $k > 0$ and let $\ell = 1$. Let v_1 and u_{k+1} be the vertices in $G(3, k, 1)$ and $G(3, k + 1, 0)$, respectively illustrated in Figure 10.

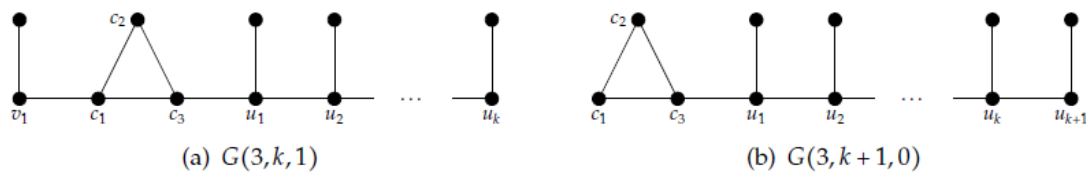


Figure 10

Note that $G(3, k, 1) - v_1 \cong G(3, k + 1, 0) - u_{k+1}$ and hence $G(3, k, 1) - v_1 \sim G(3, k + 1, 0) - u_{k+1}$. For simplicity let $G'(3, k, 1)$ and $G'(3, k + 1, 0)$ denote $G(3, k, 1) - N[v_1]$ and $G(3, k + 1, 0) - N[u_{k+1}]$ respectively. Now let u_k and c_1 be the vertices illustrated in Figure 11 in $G'(3, k, 1)$ and $G'(3, k + 1, 0)$, respectively.

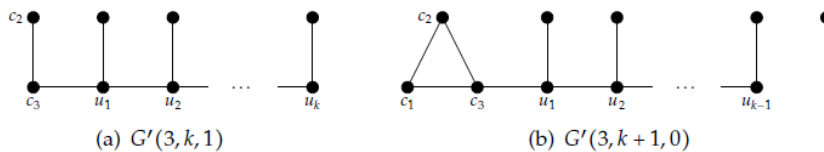


Figure 11

Note that $G'(3, k, 1) - u_k \cong G'(3, k + 1, 0) - c_1$ and $G'(3, k, 1) - N[u_k] \cong G'(3, k + 1, 0) - N[c_1]$. Therefore $G'(3, k, 1) - u_k \sim G'(3, k + 1, 0) - c_1$ and $G'(3, k, 1) - N[u_k] \sim G'(3, k + 1, 0) - N[c_1]$. Finally by Proposition 2, $G'(3, k, 1) \sim G'(3, k + 1, 0)$ and hence $G(3, k, 1) \sim G(3, k + 1, 0)$.

Now suppose $G(3, k, \ell) \sim G(3, k + \ell, 0)$ for all $0 < \ell \leq m$ for some $m \geq 1$. Let v_{m+1} and u_{k+m+1} be the vertices illustrated in Figure 12 in $G(3, k, m + 1)$ and $G(3, k + m + 1, 0)$, respectively.

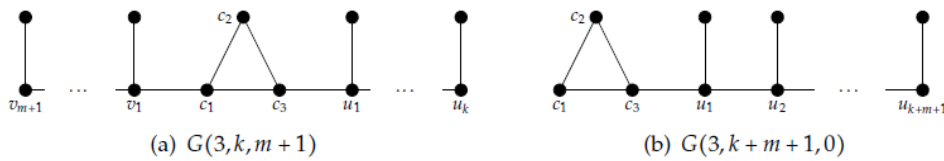


Figure 12

Note that $G(3, k, m + 1) - v_{m+1} \cong G(3, k, m) \cup K_1$ and $G(3, k + m + 1, 0) - u_{k+m+1} \cong G(3, k + m, 0) \cup K_1$. By our inductive hypothesis and Proposition 2 $G(3, k, m + 1) - v_{m+1} \sim G(3, k + m + 1, 0) - u_{k+m+1}$. Furthermore $G(3, k, m + 1) - N[v_{m+1}] \cong G(3, k, m - 1) \cup K_1$ and $G(3, k + m + 1, 0) - N[u_{k+m+1}] \cong G(3, k + m - 1, 0) \cup K_1$. Again by our inductive hypothesis and Proposition 2, $G(3, k, m + 1) - N[v_{m+1}] \sim G(3, k + m + 1, 0) - N[u_{k+m+1}]$. Therefore by Proposition 1, $G(3, k, m + 1) \sim G(3, k + m + 1, 0)$ and by induction $G(3, k + \ell, 0) \sim G(3, k, \ell)$ for all $\ell > 0$. Furthermore $G(3, k + \ell, 0) \sim G(3, k, \ell)$ for all $\ell, k > 0$.

It now suffices to show $G(5, k, 0) \sim G(3, k + 1, 0)$ and $G(5, k, \ell) \sim G(3, k + 1, \ell)$ for all $\ell, k > 0$. We will begin with showing $G(5, k, 0) \sim G(3, k + 1, 0)$. Let c_3 and u_1 be the vertices illustrated in Figure 13 in $G(5, k, 0)$ and $G(3, k + 1, 0)$, respectively.

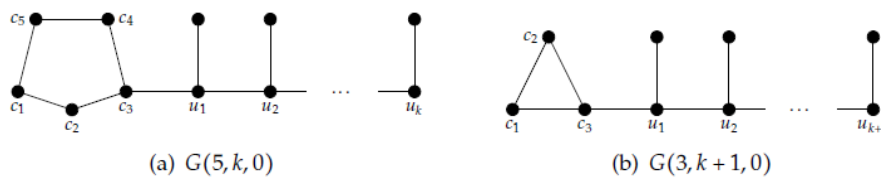


Figure 13

Note that $G(5, k, \ell) - v_1 \cong G(5, k, 0) \cup P_{\ell-1}^* \cup K_1$ and $G(3, k + 1, \ell) - v_1 \cong G(3, k + 1, 0) \cup P_{\ell-1}^* \cup K_1$. As $G(5, k, 0) \sim G(3, k + 1, 0)$ then $G(5, k, \ell) - v_1 \sim G(3, k + 1, \ell) - v_1$. Furthermore $G(5, k, \ell) - N[v_1]$ and $G(3, k + 1, \ell) - N[v_1]$ and both isomorphic to $P_{\ell-2}^* \cup P_{k+1}^* \cup K_1$. Therefore $G(5, k, \ell) - N[v_1] \sim G(3, k + 1, \ell) - N[v_1]$ and by Proposition 2 $G(5, k, \ell) \sim G(3, k + 1, \ell)$. \square

Theorem 14. Let $n \geq 3$ be odd, G be a connected well-covered unicyclic graph of order n and H_n a graph of order n in \mathcal{S}_p . Then

$$G \geq \begin{cases} C_n & \text{if } n \leq 7 \\ H_n & \text{if } n \geq 9 \end{cases}$$

Proof. Let G be a connected well-covered unicyclic graph of order n where n is odd. If $n \leq 7$, then from Theorem 10, C_n is a well-covered unicyclic graph. So by Theorem 6, $C_n \leq G$. For $n \geq 9$, we know that $G \in \mathcal{S}_3 \cup \mathcal{S}_5$. Let H' be the graph obtained from G by replacing each well-covered branch S with a well-covered branch isomorphic to P_{k-1}^* with exactly one vertex of degree 2 (as in Lemma 4). By Lemma 4, $H' \leq G$. Furthermore clearly $H' \in \mathcal{S}_p$. Thus by Lemma 5, $I(H', x) = I(H_n, x)$ and thus $H_n \leq G$.

Let $u \in V(G)$ such that $\deg_G(u) \geq 3$ and let G_1 be the induced subforest of G such that u is the vertex on the cycle at shortest distance from every vertex in $V(G_1)$. Let $T_1^*, T_2^*, \dots, T_k^*$ be the components of G_1 . Let H_1 , be obtained from G by replacing T_i^* with $P_{|V(T_i^*)|}^*$ and joining one of its vertices of degree 2 to u for all $i = 1, 2, \dots, k$. From Lemma 4, $H_1 \leq G$. If $k \geq 2$, then let u_i be a the degree 2 vertex in $P_{|V(T_i^*)|}^*$ for all $i = 2, \dots, k$ and u' be the neighbour of u in $P_{|V(T_1^*)|}^*$. For $i = 2, \dots, k$, let $H_i = H_{i-1}^\dagger(u_i, u, u')$. From Lemma 3, it follows that $H_{i+1} \leq H_i$ for all $i = 1, 2, \dots, k$. Now, for all $k \geq 1$, we can replace the well-covered branch of H_k incident with u with $P_{|V(G_1)|/2}^*$ to obtain the graph H' and it follows from Lemma 4 that $H' \leq H_k \leq G$. If for all vertices w on the cycle of G such that $u \neq w$, $\deg_G(w) = 2$, then $H' \in \mathcal{S}_p$ and we are done by Lemma 5. Otherwise, we can

repeat the process in this paragraph for the other well-covered branches until we obtain a graph in \mathcal{S}_P . Since all graphs in \mathcal{S}_P are independence equivalent from Lemma 5, it follows that $H \leq G$. \square

Corollary 6. Let G be a connected well-covered unicyclic graph of odd order n and $H_n \in \mathcal{S}_P$ of order n . Then

- i) $\xi(C_n) \leq \xi(G) \leq \xi(M_n)$ if $n \leq 7$, and
- ii) $\xi(H_n) \leq \xi(G) \leq \xi(M_n)$ if $n \geq 9$.

3. Resolutions to open questions and conjectures related to \leq

We have seen in the previous sections that when G is a (well-covered) tree or a (well-covered) unicyclic graph there seems to be a correspondence between graphs that maximize/minimize the maximum degree and the graphs that are maximal/minimal with respect to \leq . This lead Oboudi to ask two questions about the degree sequence of trees and their independence polynomials. Let D_G denote the degree sequence of G in nondecreasing order. $D_H = (y_1, y_2, \dots, y_n) \leq D_G = (x_1, x_2, \dots, x_n)$ if $x_t > y_t$ for some $t \in \{1, 2, \dots, n\}$ and $x_k = y_k$ for all $k < t$.

Question 2 ([10]). Let T_1 and T_2 be two trees such that $D_{T_1} < D_{T_2}$. Is it true that $T_1 < T_2$?

Question 3 ([10]). Let T_1 and T_2 be two trees such that $I(T_1, x) = I(T_2, x)$. Is it true that $D_{T_1} = D_{T_2}$?

These questions are closely related to the 15-year old conjecture due to Levit and Mandrescu.

Conjucure 1 ([20,23]). If G is a connected graph and T is a well-covered tree, and $I(T, x) = I(G, x)$, then G is a well-covered tree.

In particular, if Question 3 has a positive answer, then any connected graph independence equivalent to a well-covered tree of order $2n$ must have exactly n leaves and therefore would provide some evidence for Conjecture 1. However, as we will show, Conjecture 1 is false and the answer to both Questions 2 and 3 is “no”. We now provide an infinite family of graphs that will justify all three claims in the previous sentence.

They used Proposition 1 to show that a particular family of well-covered trees, namely, well-covered spiders, are uniquely determined by their independence polynomials among all well-covered trees. This result and other analysis in [23] led them to Conjecture 1.

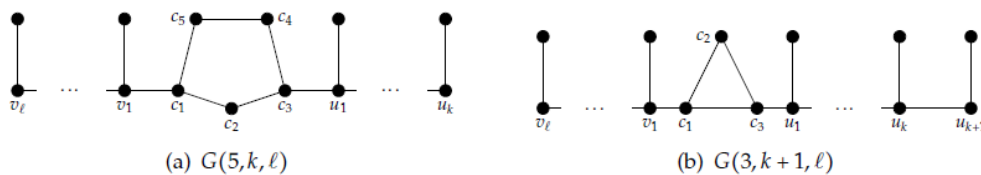


Figure 14. The tree G_{10} that is not well-covered but is equivalent to P_5^*

The graph in Figure 14 has independence polynomial $13x^5 + 45x^4 + 59x^3 + 36x^2 + 10x + 1$ which is equivalent to the independence polynomial of P_5^* . From computations with the computer algebra system Maple, this is the smallest counterexample to Conjecture 1. It is a counterexample to Question 3 since $\Delta(P_5^*) = 3$ but $\Delta(G_{10}) = 4$ so $D_{P_5^*} \neq D_{G_{10}}$, but the graphs are independence equivalent. Furthermore is a counterexample to Question 2 since G_{10} has one fewer leaf than P_5^* so $D_{P_5^*} < D_{G_{10}}$, but again the graphs are independence equivalent so $G_{10} \leq P_5^*$. This graph also provides the basis for an infinite family of counterexamples for each of Question 2, Question 3, and Conjecture 1.

We now define the graph G_{2n} recursively as follows: Let G_{10} be the graph pictured in Figure 14. The graph $G_{2(n+1)}$ is obtained from G_{2n} by adding a copy of K_2 , with vertices labelled v_{n+1} and w_{n+1} , and joining v_n and v_{n+1} with an edge, see Figure 15.

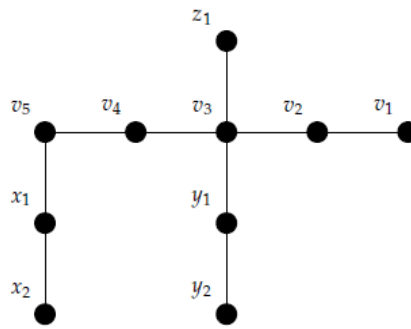


Figure 15. The graph G_{2n} that is equivalent to P_n^*

Theorem 15. For $n \geq 6$, $I(P_n^*, x) = I(G_{2n}, x)$.

Proof. The proof is by induction on n . For $n = 6$ and $n = 7$ we have equivalence from direct computations. Now suppose $I(P_k^*, x) = I(G_{2k}, x)$ for all $7 \leq k < n$. Label the vertices of P_n^* such that the vertices of P_n are labelled with u_1, u_2, \dots, u_n such that $u_i \sim u_{i+1}$ for $i = 1, 2, \dots, n - 1$ and label the leaf adjacent to u_i with u_i^* . Label the vertices of G_{2n} as in its definition (see, Figure 15). Now, by Proposition 2,

$$\begin{aligned}
 I(P_n^*, x) &= I(P_n^* - u_1, x) + xI(P_n^* - N[u_1], x) \\
 &= (1 + x)I(P_{n-1}^*, x) + x(1 + x)I(P_{n-1}^*, x) \\
 &= (1 + x)I(G_{2(n-1)}, x) + x(1 + x)I(G_{2(n-2)}, x) \\
 &= I(G_{2(n-1)} \cup K_1, x) + xI(G_{2(n-2)} \cup K_1, x) \\
 &= I(G_{2n} - v_n, x) + xI(G_{2n} - N[v_n], x) \\
 &= I(G_{2n}, x).
 \end{aligned}$$

The result follows by induction. □

Since $v_4 \in G_{2n}$ is not adjacent to a leaf, G_{2n} is not well-covered by Corollary 2. Thus, Theorem 15 provides an infinite family of counterexamples to Conjecture 1. This is also an infinite family answering Questions 2 and 3 in the negative since G_{2n} has one fewer pendant vertex as P_n^* so $D_{P_5^*} < D_{G_{10}}$ ($D_{P_5^*} \neq D_{G_{10}}$) but the graphs are independence equivalent so $G_{2n} \leq P_n^*$.

3.1. \leq is not a total order on trees

There is another conjecture related to \leq posed by Oboudi that we will answer now as well.

Conjucrre 2 ([10]). Let T_1 and T_2 be two trees of order n . Is it true that $T_1 < T_2$ or $T_2 \leq T_1$?

Although Oboudi noted that all trees of order at most 6 are comparable and we have verified that all trees of order 7 are also all comparable, the trend stops at order 8. The trees T_1 and T_2 in Figure 16 both have order 8, with $\xi(T_1) \approx -0.2451223338$ and $\xi(T_2) \approx -0.2410859067$, but $I(T_2, -0.1) > I(T_1, -0.1)$ and $I(T_2, -0.2) < I(T_1, -0.2)$. Hence T_1 and T_2 are incomparable with respect to \leq .

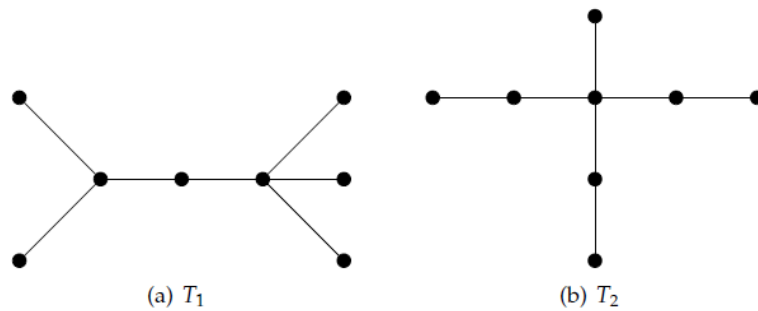


Figure 16. T_1 and T_2 are incomparable trees of order 8 with respect to \leq .

Note that by Lemma 2, T_1^* and T_2^* are also incomparable with respect to \leq . In fact, applying the graph star operation an equal number of times to both T_1 and T_2 will always produce two incomparable trees with respect to \leq . This gives the following theorem.

Theorem 16. *If $k \geq 0$ and $n = 2^{k+3}$, then there exist two trees of order n that are incomparable with respect to \leq .*

4. Conclusion

We conclude by asking some open questions. In [8], Csikvári showed that the partial order induced by \leq over all trees of fixed order had a unique maximum graph, S_n , and a unique minimum graph, P_n . We extended these results to connected unicyclic graphs as well as well-covered trees and connected well-covered unicyclic graphs. In the case of connected unicyclic graphs of fixed order, there were two minimum graphs, C_n and D_n . However $C_n \sim D_n$ therefore we may consider the independence equivalence class $[C_n]$ as the minimum element. Moreover, in the each of the other cases there was a unique maximum and unique minimum independence equivalence class. This leads us to our first question.

Question 4. Are there any interesting families of graphs \mathcal{F} , such that there are no maximum or minimum independence equivalence classes with respect to \leq ?

Although we have shown that \leq is not a total order on trees of equal order, open problems still remain about the properties of this partial order.

Question 5. Are there any interesting families of graphs \mathcal{F} , such that \leq is a total order?

Question 6. Can there be arbitrarily large anti-chains with respect to \leq among trees of equal order?

What about the maximum and minimum graphs of other families of graphs? There are some that generalize trees that follow relatively easily from our work and Csikvári’s [8] work. For example, connected bipartite graphs.

Theorem 17. *If G is a connected bipartite graph of order n , then*

$$P_n \leq G \leq K_{\lceil \frac{n}{2} \rceil, \lfloor \frac{n}{2} \rfloor}.$$

Proof. Let G be a bipartite graph of order n with bipartition (X, Y) where $|X| = \lceil \frac{n}{2} \rceil + k$ and $|Y| = \lfloor \frac{n}{2} \rfloor - k$ for some integer $0 \leq k \leq \lfloor \frac{n}{2} \rfloor - 1$. Therefore, G is a subgraph of $K_{|X|, |Y|}$ and by Theorem 2, $G \leq K_{|X|, |Y|}$. Therefore, it

suffices to show that $K_{|X|,|Y|} \leq K_{\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}$. For simplicity let $f_{|X|} = I(K_{|X|,|Y|}, x)$ and $f_{\lfloor \frac{n}{2} \rfloor} = I(K_{\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}, x)$. Now for all $x \in [\xi(K_{\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}), 0]$,

$$\begin{aligned} f_{|X|} - f_{\lfloor \frac{n}{2} \rfloor} &= (1+x)^{|X|} + (1+x)^{|Y|} - 1 - \left((1+x)^{\lfloor \frac{n}{2} \rfloor} + (1+x)^{\lfloor \frac{n}{2} \rfloor - 1} \right) \\ &= (1+x)^{|Y|} \left((1+x)^{|X|-|Y|} + 1 - \left((1+x)^{|X|-|Y|-k} + (1+x)^k \right) \right) \\ &= (1+x)^{|Y|} \left(I(\overline{K_{|X|-|Y|}}, x) - I(K_{|X|-|Y|-k, k}, x) \right). \end{aligned} \tag{5}$$

Now, $(1+x)^{|Y|} \geq 0$ for all x in the desired interval, and $\overline{K_{|X|-|Y|}}$ is a subgraph of $K_{|X|-|Y|-k, k}$ so $I(\overline{K_{|X|-|Y|}}, x) - I(K_{|X|-|Y|-k, k}, x) \geq 0$ for all $x \in [\xi(K_{|X|-|Y|-k, k}), 0]$ by Theorem 2. Also by Theorem 2, $\xi(K_{|X|-|Y|-k, k}) \leq \xi(K_{\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor})$. Therefore, (5) is nonnegative, so $G \leq K_{\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}$.

Finally, the fact that $P_n \leq G$ follows from the fact that G is connected and Theorem 2 and Theorem 9. □

A family of graphs that generalizes bipartite graphs (and therefore trees), is the family of triangle free graphs. While there are many more triangle free graphs than bipartite graphs, computations lead us to conjecture to following.

Acknowledgments: The second author gratefully acknowledges research support from the Natural Sciences and Engineering Research Council of Canada (NSERC) (grants DGEGR-2022-00446 and RGPIN-2022-03697).

Author Contributions: All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

Conflicts of Interest: “The authors declare no conflict of interest.”

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