

Article

3-class field towers with 2 or 3 stages

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Abstract: For quadratic fields $k = \mathbb{Q}(\sqrt{d})$ with discriminant d , 3-class group $\text{Cl}_3(k) \simeq (\mathbb{Z}/3\mathbb{Z})^2$, and four *simple* 3-principalization types $\varkappa(k) \in \{(1122), (3122), (1231), (2231)\}$, we establish necessary and sufficient conditions for the Galois group $S = \text{Gal}(\mathbb{F}_3^\infty(k)/k)$ of the unramified Hilbert 3-class field tower of k to coincide with the Galois group $M = \text{Gal}(\mathbb{F}_3^2(k)/k)$ of the maximal metabelian unramified 3-extension of k . In the case of non-coincidence, we study the path between M and S in the descendant tree of the elementary bicyclic 3-group $(\mathbb{Z}/3\mathbb{Z})^2$. For two *complex* 3-principalization types $\varkappa(k) \in \{(2122), (4231)\}$, we show that infinitely many non-metabelian possible Galois groups $S = \text{Gal}(\mathbb{F}_3^\infty(k)/k)$ with presumably unbounded derived length $\text{dl}(S)$ share a common metabelianization $M = S/S''$, whence only partial criteria can be stated. Minimal discriminants $d > 0$ with assigned simple 3-principalization type $\varkappa(k)$ and fixed length $\ell_3(k) \in \{2, 3\}$ of the 3-class field tower are determined experimentally for nilpotency class $\text{cl}(M) \in \{5, 7, 9, 11\}$ under assumption of the generalized Riemann hypothesis.

Keywords: 3-class field tower, metabelianization, second 3-class group, unramified cyclic cubic extensions, principalization of 3-classes, abelian type invariants, quadratic fields, cubic fields, dihedral fields, Artin reciprocity law, group transfer kernels, abelian quotient invariants of first and second order, relation rank, Shafarevich theorem, balanced presentation, inversion automorphism, Schur σ -groups, covers, descendant trees

MSC: 11R37, 11R29, 11R11, 11R16, 11R20, 20D15, 20F14.

1. Our intention

We use *logarithmic abelian type invariants of second order* to state necessary and sufficient criteria for finite towers of successive maximal unramified abelian 3-extensions, so-called Hilbert 3-class field towers, over quadratic number fields to possess a length of precisely two respectively three stages. The underlying quadratic fields have an elementary bicyclic 3-class group and one of four well-known *simple* 3-principalization types in their four unramified cyclic cubic extensions. In two main theorems, we state the outstanding new criteria, and we identify the graph theoretic position of crucial Galois groups. By means of challenging computations, our theory is underpinned by *numerical prototypes* of the four simple 3-principalization types and both tower lengths. For two *complex* 3-principalization types, we can only state theorems with partial conditions.

2. Introduction

Let $k = \mathbb{Q}(\sqrt{d})$ be a quadratic number field with fundamental discriminant d , elementary bicyclic 3-class group $\text{Cl}_3(k) \simeq (\mathbb{Z}/3\mathbb{Z})^2$, and one of six 3-principalization types [1,2], [3, p. 91], also known as capitulation types or *transfer kernel types* (TKT),

$$\begin{aligned}
 \text{E.6: } \varkappa(k) &\sim (1122), & \text{G.16: } \varkappa(k) &\sim (4231), \\
 \text{E.8: } \varkappa(k) &\sim (1231), & \text{H.4: } \varkappa(k) &\sim (2122), \\
 \text{E.9: } \varkappa(k) &\sim (2231), & & \text{two complex types.} \\
 \text{E.14: } \varkappa(k) &\sim (3122), & & \\
 & \text{four simple types,} & &
 \end{aligned}
 \tag{1}$$

For the simple types, our goal is a necessary and sufficient criterion for the coincidence of the σ -automorphism group $S = \text{Gal}(\mathbb{F}_3^\infty(k)/k)$ of the maximal unramified pro-3-extension of k and the σ -Galois group $M = \text{Gal}(\mathbb{F}_3^2(k)/k)$ of the maximal metabelian unramified 3-extension of k , independently of the so-called *state*, which is given in terms of the logarithmic *abelian type invariants* (ATI) $\alpha(k) = (\alpha_i)_{i=1}^4$ of the 3-class groups $\alpha_i := \text{ATI}(\text{Cl}_3(E_i))$ of the four unramified cyclic cubic extensions $E_i/k, 1 \leq i \leq 4$. When $S = M$, then the 3-class field tower of k has *exactly two stages*, $\ell_3(k) = 2$. In the case of non-coincidence, $S \neq M$, we have *precisely three stages*, $\ell_3(k) = 3$, for simple types, but we have *three or more stages*, $\ell_3(k) \geq 3$, for complex types. Then we determine the path between M and S in the descendant tree of either the root $Q = \text{SmallGroup}(729, 49)$, briefly $\langle 729, 49 \rangle$, for the types E.6, E.14 and H.4, or the root $U = \text{SmallGroup}(729, 54)$, briefly $\langle 729, 54 \rangle$, for the types E.8, E.9 and G.16 [4] (the designations *non-CF group* Q and U are due to Ascione et al. [5, Tbl. 1, p. 265, Tbl. 2, p. 266, Tbl. 3, p. 268]). We briefly speak about the Q -tree $\mathcal{T}(Q)$ and the U -tree $\mathcal{T}(U)$.

The present article analyzes Hilbert 3-class field towers with a length $\ell_3(k) \in \{2, 3\}$ for simple types, presumably unbounded length $\ell_3(k) \geq 2$ for complex types, and a *periodic* group M of fixed coclass $\text{cc}(M) = 2$, called *second maximal class* in [5], and **odd** nilpotency class $\text{cl}(M) \geq 5$ for simple types, **even** nilpotency class $\text{cl}(M) \geq 6$ for complex types. The metabelianization $M = S/S''$ of S is located on one of two coclass trees with roots $\langle 243, 6 \rangle$, the parent of $\langle 729, 49 \rangle$, respectively $\langle 243, 8 \rangle$, the parent of $\langle 729, 54 \rangle$, in the form of vertices with depth $\text{dp}(M) = 1$ for simple types, and $\text{dp}(M) = 2$ for complex types. The n -th *state* of M is characterized by the ATI

$$\alpha(k) = \begin{cases} [(n+3, n+2), 1^3, (21)^2] & \text{for the types E.6, E.14 and H.4, and} \\ [(n+3, n+2), (21)^3] & \text{for the types E.8, E.9 and G.16,} \end{cases}
 \tag{2}$$

with **heterocyclic** polarization $(n+3, n+2)$, or also by **odd** class $\text{cl}(M) = 5 + 2n$ for simple types and **even** class $\text{cl}(M) = 6 + 2n$ for complex types, for each integer $n \geq 0$, called the *state parameter*. In the case of non-coincidence, $S \neq M$, we emphasize the remarkable fact that for each of these two descendant trees, there exists a non-metabelian *infinite path* with strictly alternating step sizes $s = 2$ and $s = 1$ and vertices of the *skeleton* type [3, p. 91] of the mainlines of the coclass trees, that is, c.18: $\varkappa(k) \sim (0122)$, respectively c.21: $\varkappa(k) \sim (0231)$, [6], beginning at the bifurcation $Q = \langle 729, 49 \rangle$, respectively $U = \langle 729, 54 \rangle$, from which the Schur σ -groups S [7] of the *ground state* $n = 0$ and of all *excited states* $n \geq 1$ branch off as terminal leaves with step size $s = 2$ and depth $\text{dp}(S) = 1$ for simple types and odd $\text{dp}(S) \geq 3$ for complex types.

We begin with arithmetic foundations in §3, §4 and group theoretic techniques in §5. The main results and proofs are contained in §7 and §9, ostensibly visualized by tree diagrams in §10, and underpinned by computer experiments in §11 and prototypes in §12.

3. Terminology and notation

Let K/\mathbb{Q} be an algebraic number field. Given a prime number $p \in \mathbb{P}$, we denote the Sylow p -subgroup $\text{Syl}_p \text{Cl}(K)$ of the ideal class group $\text{Cl}(K)$ of K by $\text{Cl}_p(K)$, and we call it the *p-class group* of K .

Definition 1. The maximal unramified p -extension E of K with abelian Galois group $\text{Gal}(E/K)$ is called the *Hilbert p-class field* of K , and is denoted by $\mathbb{F}_p^1(K)$.

The concept *p-class field* was coined by Hilbert in his “Zahlbericht” [8, Satz 94, p. 279], since Hilbert conjectured an isomorphism of the automorphism group $\text{Gal}(\mathbb{F}_p^1(K)/K)$ to the p -class group $\text{Cl}_p(K)$ of K . His hypothesis turned out to be a special case of the Artin reciprocity law [9, Allgemeines Reziprozitätsgesetz, p. 361].

The construction of Hilbert p -class fields may be iterated and leads to the maximal unramified pro- p -extension T of K with a potentially infinite topological pro- p Galois group $\text{Gal}(T/K)$, endowed with the Krull topology.

Definition 2. For any non-negative integer $q \in \mathbb{N}_0$, the q -th p -class field of K is defined recursively by $F_p^0(K) := K$ for $q = 0$, and $F_p^q(K) := F_p^1(F_p^{q-1}(K))$ for $q \geq 1$.

The ascending tower

$$K = F_p^0(K) \leq F_p^1(K) \leq F_p^2(K) \leq \dots \leq F_p^q(K) \leq \dots \leq F_p^\infty(K), \tag{3}$$

of successive Hilbert p -class fields is called the (unramified) Hilbert p -class field tower of K , and its union is denoted by $F_p^\infty(K) := \bigcup_{q=0}^\infty F_p^q(K)$.

If the tower becomes stationary with $F_p^q(K) = F_p^{q+1}(K)$ for some $q \in \mathbb{N}_0$, then the non-negative integer $\ell_p(K) := \min\{q \in \mathbb{N}_0 \mid F_p^q(K) = F_p^{q+1}(K)\} \in \mathbb{N}_0$ is called the length of the p -class field tower of K , otherwise the length $\ell_p(K) := \infty$ is unbounded.

Generally, if $\ell_p(K) \geq q$, then the Galois group $G = \text{Gal}(F_p^q(K)/K)$ of the q -th p -class field of K has soluble length (or derived length) $\text{sl}(G) = q$ (or $\text{dl}(G) = q$). In particular:

Definition 3. For $\ell_p(K) \geq 2$, the second Hilbert p -class field $F_p^2(K)$ is the maximal unramified p -extension of K with metabelian Galois group $M := \text{Gal}(F_p^2(K)/K)$, since a group M with soluble length $\text{sl}(M) = 2$ is dubbed metabelian because its commutator subgroup M' is abelian. M is called the second p -class group of K .

As opposed, we call the topological pro- p Galois group $S := \text{Gal}(F_p^\infty(K)/K)$ of the maximal unramified pro- p -extension $F_p^\infty(K)$ of K the (full p -class field) tower group of K . The group $M = S/S''$ is the metabelianization, i.e., the second derived quotient, of the full tower group S .

In the present article, our focus will be on criteria for the distinction between metabelian two-stage towers with $F_p^2(K) = F_p^\infty(K)$, $M = S$, and $\ell_p(K) = 2$, and on the other hand non-metabelian towers with length $\ell_p(K) \geq 3$, in the situation with the particular prime $p = 3$.

4. Artin pattern and invariants of second order

In the Introduction §2, we used transfer kernel types (TKT) $\varkappa(k)$ and logarithmic abelian type invariants (ATI) $\alpha(k)$ of quadratic fields $k = \mathbb{Q}(\sqrt{d})$ to describe the goals of this article. Now we give precise definitions of these concepts for a quadratic number field k with fundamental discriminant $d(k) = d$ and elementary bicyclic 3-class group $\text{Cl}_3(k) \simeq (\mathbb{Z}/3\mathbb{Z})^2$. Such a field has four unramified cyclic cubic extensions E_i/k , $1 \leq i \leq 4$. A natural order of these four extensions E_i , which are dihedral of degree six over \mathbb{Q} and share a common discriminant $d(E_i) = d^3$, is given by increasing regulators $R_1 < \dots < R_4$ of the non-Galois absolutely cubic subfields $L_i < E_i$.

Definition 4. Let $T_i : \text{Cl}_3(k) \rightarrow \text{Cl}_3(E_i)$ be the transfer of 3-classes from k to E_i , which is also called the class extension homomorphism $\mathfrak{a}_{\mathcal{P}_k} \mapsto (\mathfrak{a}_{\mathcal{O}_{E_i}})_{\mathcal{P}_{E_i}}$. According to Theorem 94 by Hilbert [8, p. 279], T_i is not injective. Therefore, let H_j be the cyclic subgroup of order 3 of $\text{Cl}_3(k) \simeq (\mathbb{Z}/3\mathbb{Z})^2$ which corresponds to E_j by Artin's reciprocity law [9], for each $1 \leq j \leq 4$, that is, $H_j = N_{E_j/k} \text{Cl}_3(E_j)$ are the norm class groups. Then the transfer kernel type (TKT) of k , $\varkappa(k) = (\varkappa_i)_{i=1}^4$, (see [2,10] for a permutation-invariant version as S_4 -orbit) is defined by

$$\varkappa_i := \begin{cases} 0 & \text{if } \ker(T_i) = \text{Cl}_3(k), \\ j & \text{if } \ker(T_i) = H_j. \end{cases} \tag{4}$$

Definition 5. The abelian type invariants (ATI) of k , $\alpha(k) = (\alpha_i)_{i=1}^4$, consist of the logarithmic abelian type invariants $\alpha_i := \text{ATI}(\text{Cl}_3(E_i))$ of the 3-class groups of the four unramified cyclic cubic extensions E_i/k , $1 \leq i \leq 4$. (In [11], the ATI were called the transfer target type (TTT), $\tau(k)$.) The Artin pattern of k is the pair $\text{AP}(k) := (\varkappa(k), \alpha(k))$.

The logarithmic notation is very compact, for instance, $21 \triangleq (9, 3) \triangleq (\mathbb{Z}/9\mathbb{Z}) \times (\mathbb{Z}/3\mathbb{Z})$, $1^3 \triangleq (3, 3, 3) \triangleq (\mathbb{Z}/3\mathbb{Z})^3$, and $(n + 3, n + 2) \triangleq (3^{n+3}, 3^{n+2}) \triangleq (\mathbb{Z}/3^{n+3}\mathbb{Z}) \times (\mathbb{Z}/3^{n+2}\mathbb{Z})$, for any state parameter $n \geq 0$.

Definition 6. The abelian type invariants of second order (ATI2) of k , $\alpha^{(2)}(k) = (\alpha(E_i))_{i=1}^4$, form a quartet where each component consists of the logarithmic abelian type invariants (ATI)

$$\alpha(E_i) := [\text{ATI}(\text{Cl}_3(E_i)); \text{ATI}(\text{Cl}_3(E_{i,1})), \dots, \text{ATI}(\text{Cl}_3(E_{i,n_i}))], \tag{5}$$

of the 3-class group of the unramified cyclic cubic extension E_i/k , and of the 3-class groups of the unramified cyclic cubic extensions $E_{i,j}/E_i$, $1 \leq j \leq n_i$, where $n_i = 4$ if $\text{Cl}_3(E_i)$ is bicyclic, and $n_i = 13$ if $\text{Cl}_3(E_i)$ is (elementary) tricyclic. Note that $[E_{i,j} : k] = 9$ and $[E_{i,j} : \mathbb{Q}] = 18$.

5. Decisions by means of group theoretic constraints

5.1. Galois action

The decision, whether a finite metabelian p -group M is admissible as the second p -class group $M = \text{Gal}(\mathbb{F}_p^2(K)/K)$ of a quadratic number field $K = \mathbb{Q}(\sqrt{d})$, for some odd prime p , or not, can be made with the aid of group theoretic requirements: it is necessary that there exists an involutory automorphism $\sigma \in \text{Aut}(M)$ of order $\text{ord}(\sigma) = 2$ which acts as the inversion $x \mapsto x^{-1}$ on the first and second cohomology group $H^i(M, \mathbb{F}_p)$, for $i \in \{1, 2\}$. The same conditions are required for any pro- p -group S to be admissible as the full p -class field tower group $S = \text{Gal}(\mathbb{F}_p^\infty(K)/K)$ [12, Lem. (4.1) and (4.2), p. 217].

Definition 7. A pro- p -group with this property is called σ -group or group with σ -automorphism.

5.2. Relation rank

Let p be a prime number and let G be a pro- p -group. The dimension of cohomology groups of G acting on the finite field \mathbb{F}_p as a G -module is crucial for applications in class field theory.

Definition 8. The generator rank of G is the dimension $d_1(G) = \dim_{\mathbb{F}_p}(H^1(G, \mathbb{F}_p))$, and the relation rank of G is the dimension $d_2(G) = \dim_{\mathbb{F}_p}(H^2(G, \mathbb{F}_p))$.

In 1964, Shafarevich [13] determined bounds for the relation rank of the automorphism group $G = \text{Gal}(\mathbb{F}_{p,S}^\infty(K)/K)$ of the maximal pro- p -extension $\mathbb{F}_{p,S}^\infty(K)$ of an algebraic number field K which is unramified outside of an assigned finite set S of (real archimedean or non-archimedean) places. In the special case of the maximal (everywhere) unramified pro- p -extension $\mathbb{F}_p^\infty(K)$ with $S = \emptyset$, the **Shafarevich theorem** states the following sharp estimate.

Theorem 1. If the signature of K is (r_1, r_2) (and thus $r_1 + 2r_2 = [K : \mathbb{Q}]$), the torsionfree Dirichlet unit rank of K is $r = r_1 + r_2 - 1$, and $\theta \in \{0, 1\}$ is an indicator for the existence of a primitive p -th root of unity in K , then the Shafarevich bounds for the relation rank of the p -class field tower group $S = \text{Gal}(\mathbb{F}_p^\infty(K)/K)$ are given by

$$d_1(S) \leq d_2(S) \leq d_1(S) + r + \theta. \tag{6}$$

Proof. There is a misprint in [13, Formula (18')] which was corrected in [6, Thm. 5.1, p. 28]. For $S = \emptyset$, the correction immediately yields the bounds in Formula (6). \square

Definition 9. A pro- p -group G is called a Schur σ -group, or a group possessing a balanced presentation, if $d_2(G) = d_1(G)$, and it is called a Schur+1 σ -group if $d_2(G) = d_1(G) + 1$.

Corollary 1. Let p be an odd prime. Then the p -class field tower group $S = \text{Gal}(\mathbb{F}_p^\infty(k)/k)$ of a quadratic number field $k = \mathbb{Q}(\sqrt{d})$ must be a Schur σ -group, when k is imaginary quadratic (with negative discriminant $d < 0$), and it may be a Schur+1 σ -group or a Schur σ -group, when k is real (with positive discriminant $d > 0$).

Proof. Only for $p = 3$, the primitive p -th roots of unity are contained in an imaginary quadratic field, namely $k = \mathbb{Q}(\sqrt{-3})$, but this field has class number one and thus a trivial 3-class field tower. An imaginary quadratic field has the signature $(r_1, r_2) = (0, 1)$, its unit rank is $r = 0 + 1 - 1 = 0$, and the Shafarevich bounds become tight $d_1(S) \leq d_2(S) \leq d_1(S) + 0 + 0 = d_1(S)$, i. e., S has a balanced presentation with $d_2(S) = d_1(S)$. However, a real quadratic field, which certainly cannot contain an imaginary primitive p -th root of unity for an odd prime p , has the signature $(r_1, r_2) = (2, 0)$, unit rank $r = 2 + 0 - 1 = 1$ and the Shafarevich bounds are $d_1(S) \leq d_2(S) \leq d_1(S) + 1 + 0 = d_1(S) + 1$, i. e., S may be a Schur+1 σ -group or a Schur σ -group. \square

6. Finite bounds or potential infinitude?

There arises a basic partially *mathematical* and partially *philosophical* question: Which of our results may be claimed for any value of the state parameter n ?

- It is clear that our *experimental* information about concrete numerical realizations of our theoretical claims are very limited, although they are based on the most extensive database of relevant *arithmetical* invariants for quadratic fields $k = \mathbb{Q}(\sqrt{d})$ available currently. It is due to Michael Raymond Bush in 2015, see subsection §11.1. The *highest excited state* for which we know a single numerical example $d = 705\,576\,037$ of complex type H.4 is $n = 3$ (ES3). The biggest example $d = 336\,698\,284$ of a simple type E.14 has only $n = 2$ (ES2). The characteristic *polarizations* $\alpha_1(k)$ of the states are the **heterocyclic** 3-groups $(27, 9)$ for $n = 0$ (GS), $(81, 27)$ for $n = 1$ (ES1), $(243, 81)$ for $n = 2$ (ES2), and $(729, 243)$ for $n = 3$ (ES3), whereas no real quadratic examples are known with $(2187, 729)$ for $n = 4$ (ES4). So the bound $n \leq 3$ for the state would be sufficient to explain all available experimental data concerning prototypes and other paradigms in §12.

- Our *number theoretic* statements are derived from *group theoretic* theorems by means of the Artin reciprocity law [9]. So the former are subject to the same bounds as the latter.

- By *top-down techniques* we understand the construction of finite 3-quotients \mathcal{L}/\mathcal{U} of *infinite limit groups* $\mathcal{U} \triangleleft \mathcal{L}$, or also the *parametrized pc-presentation* (power-commutator presentation) of an infinite sequence $(M_n^i)_{n \geq 0}$ of finite 3-groups. Due to internal limitations of the maximal word-length in Magma [14], the bound $n \leq 7$ cannot be surpassed [3, Rmk 3.1, p. 95].

- By *bottom-up techniques* we understand the construction of descendants $D = P - \#s; i$ (with step-size s and counter i) of finite 3-groups $P = \pi(D)$ (with parent-projection π) by means of the *p-group generation* algorithm (or *extension* algorithm) by Newman and O'Brien [15–17]. Here, no limitations of storage capacity (RAM) and no bounds for data types arise in Magma [14]. The amount of required CPU time, however, even on fast processors, suggests reasonable bounds $n \leq 19$ for difficult cases or at most $n \leq 29$ for easy cases (simple types) [3, Rmk 3.2, p. 96].

- The connection between the *state parameter* n and logarithmic order (lo), nilpotency class (cl) and coclass (cc) is given in Table 1, for simple and complex types separately.

Table 1. Connection between bounds for the state n and group invariants

State	Simple			Complex			Context	Theory of
	lo	cl	cc	lo	cl	cc		
2	14	9	5	17	11	6	Prototypes, paradigms	Numbers
3	17	11	6	20	13	7		
6	26	17	9	29	19	10	Top-down methods	Groups
7	29	19	10	32	21	11		
18	62	41	21	65	43	22	difficult Bottom-up	Groups
19	65	43	22	68	45	23		
28	92	61	31	95	63	32	easy Bottom-up	Groups
29	95	63	32	98	65	33		

- In spite of the finite bounds which are necessary for computations with computer algebra systems like Magma [14], it is our philosophical conviction that knowledge of either an infinite limit group or a parametrized pc-presentation *justifies statements involving potential infinitude*.

Based on this opinion, we now state our main theorems in section §7 and we give proofs in §8.

7. Length of 3-class field towers

7.1. Length for simple types

There is a remarkable difference between the *simple* types E.6: $\varkappa(k) \sim (1122)$, E.8: $\varkappa(k) \sim (1231)$, E.9: $\varkappa(k) \sim (2231)$, E.14: $\varkappa(k) \sim (3122)$, in section E of the paper [18, p. 36] by Scholz and Taussky, and the *complex* types G.16: $\varkappa(k) \sim (4231)$, H.4: $\varkappa(k) \sim (2122)$ [18, pp. 36–38]. For simple types, a non-metabelian 3-class field tower has *precisely* three stages, $\ell_3(k) = 3$, whereas for complex types, the length $\ell_3(k) \geq 3$ is unbounded.

Theorem 2. For *simple* types, the length $\ell_3(k)$ of the Hilbert 3-class field tower of k is given as follows. For an imaginary quadratic field k with $d < 0$, there are always three stages, $\ell_3(k) = 3$. For a real quadratic field k with $d > 0$, the **abelian type invariants of second order** $\alpha^{(2)}(k)$ are required for the distinction: for the **simple types** E.6: (1122) and E.14: (3122), we have two stages, $\ell_3(k) = 2$, if and only if

$$\alpha^{(2)}(k) = (\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[1^3; \alpha_0, (\mathbf{1}^3)^3, (1^2)^9], [21; \alpha_0, (\mathbf{21}^3)^2]}^{\text{Stabilization}}), \tag{7}$$

and we have three stages, $\ell_3(k) = 3$, if and only if

$$\alpha^{(2)}(k) = (\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[1^3; \alpha_0, (\mathbf{21}^2)^3, (1^2)^9], [21; \alpha_0, (\mathbf{31}^3)^2]}^{\text{Stabilization}}); \tag{8}$$

for the *simple types* E.8: (1231) and E.9: (2231), we have two stages, $\ell_3(k) = 2$, if and only if

$$\alpha^{(2)}(k) = (\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[21; \alpha_0, (\mathbf{21}^3)^3]}^{\text{Stabilization}}), \tag{9}$$

and we have three stages, $\ell_3(k) = 3$, if and only if

$$\alpha^{(2)}(k) = (\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[21; \alpha_0, (\mathbf{31}^3)^3]}^{\text{Stabilization}}); \tag{10}$$

for each state, parametrized by the integer $n \geq 0$ (ground state $n = 0$, excited states $n \geq 1$). Uniformly, the ATI of the first Hilbert 3-class field $F_3^1(k)$ are denoted by $\alpha_0 := ((n+2)^2, 1)$.

Theorem 2 only gives the connection between the length $\ell_3(k)$ and the ATI2 $\alpha^{(2)}(k)$. So we need additional information on the Galois groups $M = \text{Gal}(F_3^2(k)/k)$ and $S = \text{Gal}(F_3^\infty(k)/k)$ in the case of non-coincidence, i.e., $\ell_3(k) = 3$. After some definitions, this will be done in Theorem 3.

A transfer kernel type (TKT) $\varkappa = (\varkappa_1, \dots, \varkappa_4)$ is called **skeleton type** if a single component $\varkappa_i = 0$ is a total capitulation, and **hull type** if all components $\varkappa_i \in \{1, \dots, 4\}$ are partial capitulations. For an imaginary quadratic field k , the capitulation type $\varkappa(k)$ must be a hull type.

Definition 10. By the **cover** of a finite metabelian 3-group M (terminology due to Mike F. Newman), we understand the collection of all (isomorphism classes of) finite non-metabelian σ -groups S , having M isomorphic to their second derived quotient, i.e., their metabelianization,

$$\text{cov}(M) := \{S \mid \text{dl}(S) \geq 3, S/S'' \simeq M\}, \text{cov}_*(M) := \{S \in \text{cov}(M) \mid S \text{ is a Schur } \sigma\text{-group}\}. \tag{11}$$

In the sequel, we are going to use the ANUPQ-notation of **iterated descendant groups** by **relative identifiers**, “descendant = parent–#s; j” [19] with step size $1 \leq s \leq \nu$, bounded by the nuclear rank ν , and counter $1 \leq j \leq N_s$, bounded by the number of s -descendants N_s .

Theorem 3 (Structure of 3-class field towers with precisely three stages for **simple** types). *There exists a unique σ -group F , the **fork**, with nilpotency class $\text{cl}(F) = 4$ and nuclear rank $\nu(F) = 2$, causing a bifurcation, such that the path between the metabelian group $M = S/S''$ with relation $\text{rank } d_2(M) = 3$ and the non-metabelian Schur σ -group*

S of relation rank $d_2(M) = 2$ in the descendant tree of $\text{SmallGroup}(9, 2) = (\mathbb{Z}/3\mathbb{Z})^2$ is given by the union of the two paths (Figure 1).

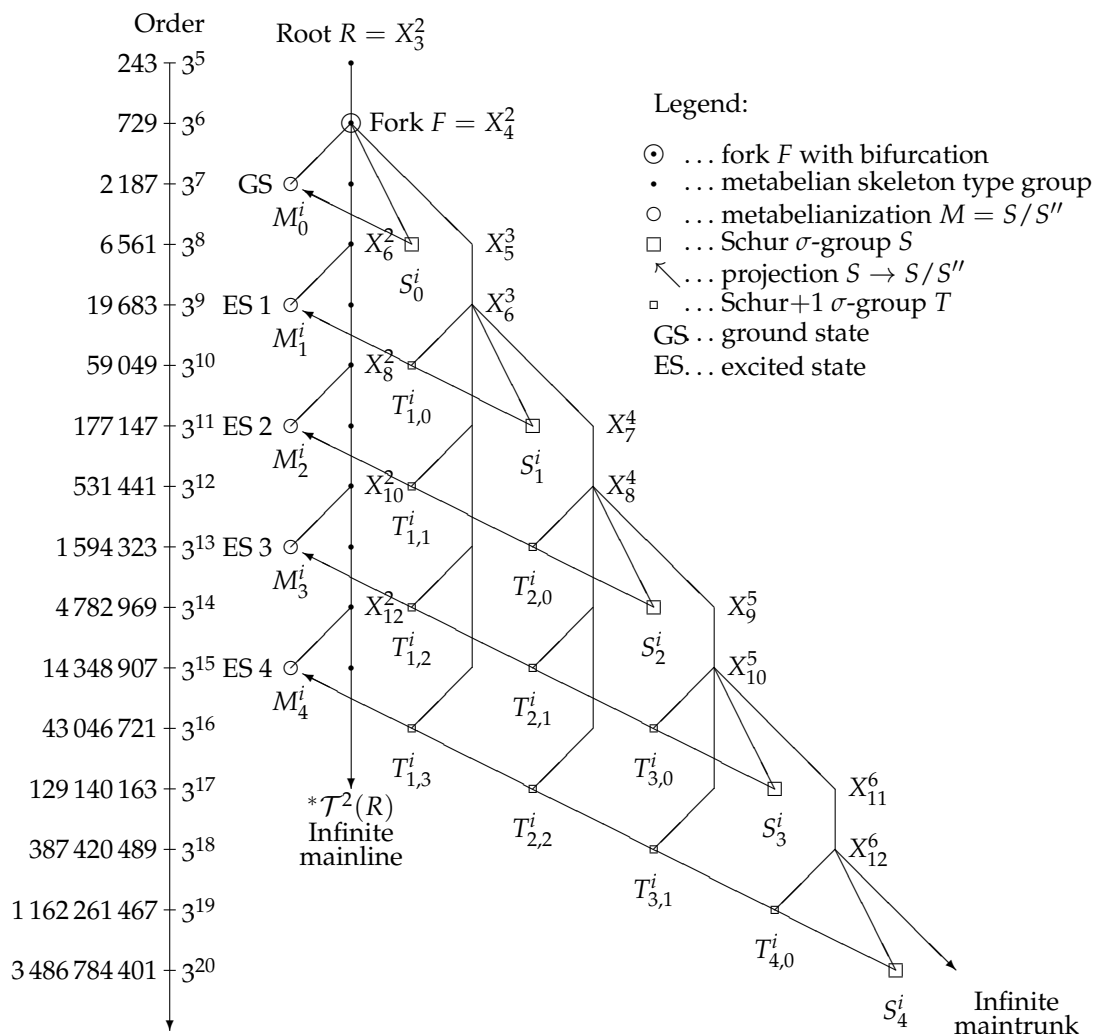


Figure 1. Schur σ -groups with simple type in excited states on $*\mathcal{T}(R)$

$$M = M_n^i := F(-\#1; 1 - \#1; 1)^n[-\#1; i] \quad \text{and} \quad S = S_n^i := F(-\#2; 1 - \#1; 1)^n[-\#2; i], \quad (12)$$

where the parentheses $()$ include vertices of skeleton type and the brackets $[\]$ include vertices of simple hull type. The final counter $i = 2, 3, 4$ depends on the capitulation type, E.6 for $i = 2$, E.14 for $i = 3, 4$ on the Q -tree, and E.8 for $i = 2$, E.9 for $i = 3, 4$ on the U -tree. The exponent n of the skeleton part expresses iteration and is the non-negative integer in the nilpotency class $\text{cl}(M) = \text{cl}(S) = 5 + 2n$, i.e., the state parameter. Finally, F is the fork between M and S . In the case of a real quadratic field k , the metabelian group M itself and, if $n \geq 1$ (ES!), additional non-metabelian Schur+1 σ -groups (all of them with relation rank $d_2 = 3$), are admissible for S :

$$S = T_{n,u}^i := F(-\#2; 1 - \#1; 1)^u(-\#1; 1 - \#1; 1)^{n-u}[-\#1; i] \quad \text{with } 1 \leq u \leq n, \text{ i.e.} \quad (13)$$

Ostensively: if $v := n - u$, then the pairs (u, v) satisfy $u + v = n$ and run through $(1, n - 1), \dots, (n, 0)$.

The cover of the second 3-class group M_n^i with $i = 2, 3, 4$ becomes bigger when the state parameter $n \geq 0$ increases. It has $n + 1$ elements, and so it is a singleton in the ground state (GS), $n = 0$:

$$\text{cov}(M_n^i) = \{T_{n,u}^i \mid 1 \leq u \leq n\} \cup \{S_n^i\} \quad \text{and} \quad \text{cov}_*(M_n^i) = \{S_n^i\}. \quad (14)$$

7.2. Length for complex types

As mentioned at the beginning of the previous subsection §7.1, a non-metabelian 3-class field tower has precisely three stages, $\ell_3(k) = 3$, for simple types, whereas the length $\ell_3(k) \geq 3$ is unbounded for the complex types G.16: $\varkappa(k) \sim (4231)$, H.4: $\varkappa(k) \sim (2122)$ [18, pp. 36–38].

Theorem 4. For complex types, the length $\ell_3(k)$ of the Hilbert 3-class field tower of k is given as follows. For an imaginary quadratic field k with $d < 0$, there are always at least three stages, $\ell_3(k) \geq 3$. For a real quadratic field k with $d > 0$, the abelian type invariants of second order $\alpha^{(2)}(k)$ are required for the distinction: for the complex type H.4, we have the following implications:

- If we have two stages, $\ell_3(k) = 2$, then

$$\alpha^{(2)}(k) = \left(\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[1^3; \alpha_0, (\mathbf{1}^3)^3, (\mathbf{1}^2)^9], [21; \alpha_0, (\mathbf{21})^3]^2}^{\text{Stabilization}} \right). \tag{15}$$

- Conversely, if Eq. (15) holds, then we may have two or three stages, $\ell_3(k) \in \{2, 3\}$.
- However, if one of the following three conditions holds

$$\begin{aligned} \alpha^{(2)}(k) &= \left(\overbrace{[(n+3, n+2); \alpha_0, (n+4, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[1^3; \alpha_0, (\mathbf{1}^3)^3, (\mathbf{1}^2)^9], [21; \alpha_0, (\mathbf{21})^3]^2}^{\text{Stabilization}} \right), \text{ or} \\ \alpha^{(2)}(k) &= \left(\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[1^3; \alpha_0, (\mathbf{21}^2)^3, (\mathbf{1}^2)^9], [21; \alpha_0, (\mathbf{31})^3]^2}^{\text{Stabilization}} \right), \text{ or} \\ \alpha^{(2)}(k) &= \left(\overbrace{[(n+3, n+2); \alpha_0, (n+4, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[1^3; \alpha_0, (\mathbf{21}^2)^3, (\mathbf{1}^2)^9], [21; \alpha_0, (\mathbf{31})^3]^2}^{\text{Stabilization}} \right), \end{aligned} \tag{16}$$

then we certainly have at least three stages, $\ell_3(k) \geq 3$; for the complex type G.16, we have the following implications:

- If we have two stages, $\ell_3(k) = 2$, then

$$\alpha^{(2)}(k) = \left(\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[21; \alpha_0, (\mathbf{21})^3]^3}^{\text{Stabilization}} \right), \tag{17}$$

- Conversely, if Eq. (17) holds, then we may have two or three stages, $\ell_3(k) \in \{2, 3\}$.
- However, if one of the following three conditions holds

$$\begin{aligned} \alpha^{(2)}(k) &= \left(\overbrace{[(n+3, n+2); \alpha_0, (n+4, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[21; \alpha_0, (\mathbf{21})^3]^3}^{\text{Stabilization}} \right), \text{ or} \\ \alpha^{(2)}(k) &= \left(\overbrace{[(n+3, n+2); \alpha_0, (n+3, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[21; \alpha_0, (\mathbf{31})^3]^3}^{\text{Stabilization}} \right), \text{ or} \\ \alpha^{(2)}(k) &= \left(\overbrace{[(n+3, n+2); \alpha_0, (n+4, n+1, 1)^3]}^{\text{Heterocyclic Polarization}}, \overbrace{[21; \alpha_0, (\mathbf{31})^3]^3}^{\text{Stabilization}} \right), \end{aligned} \tag{18}$$

then we certainly have at least three stages, $\ell_3(k) \geq 3$. The claims are proven for bounded state, parametrized by the integer n (ground state $n = 0$, excited states $1 \leq n \leq 4$), but we conjecture they hold for any state $n \geq 0$. Uniformly, the ATI of the first Hilbert 3-class field $\mathbb{F}_3^1(k)$ are denoted by $\alpha_0 := (n+3, n+2, 1)$.

Theorem 5. (Structure of 3-class field towers with three or more stages for complex types.) There exists a unique σ -group F , the fork, with nilpotency class $\text{cl}(B) = 4$ and nuclear rank $\nu(B) = 2$, causing bifurcation, such that the path between the metabelian group $M = S/S''$ with relation rank $d_2(M) = 3$ and one of the infinitely many possible non-metabelian Schur σ -groups S of relation rank $d_2(M) = 2$ in the descendant tree of $\text{SmallGroup}(9, 2) = (\mathbb{Z}/3\mathbb{Z})^2$ is given by the union of the two paths (Figure 2).

$$\begin{aligned} M &= M_n^i = F(-\#1; 1 - \#1; 1)^n[-\#1; i - \#1; 1] \quad \text{and} \\ S &= S_{n,t}^i = F(-\#2; 1 - \#1; 1)^n[-\#2; i - \#1; 1][-\#2; 1 - \#1; 1]^t[-\#2; 2] \end{aligned} \tag{19}$$

where the parentheses $()$ include vertices of skeleton type and the brackets $[]$ include vertices of complex hull type. The intermediate counter $i = 5, 6$ represents two possible variants, which cannot be distinguished arithmetically. The complex

*hull type is H.4 on the Q-tree and G.16 on the U-tree. The exponent n of the skeleton part is the non-negative integer in the nilpotency class $cl(M) = 6 + 2n$, i.e., the **state parameter**, and the exponent t of the complex hull part is the non-negative integer in the nilpotency class $cl(S) = 7 + 2t + 2n$, i.e., the **sub-state parameter**. Finally, F is the fork between M and S . In the case of a **real** quadratic field k , additional Schur+1 σ -groups are admissible for S : Firstly, as immediate descendants of M with **child topology**,*

$$S = R_{n,j}^i := M_n^i - \#1; j \quad \text{with } j = 1, 2, 3 \text{ for type H.4, } j = 1, 2 \text{ for type G.16.} \tag{20}$$

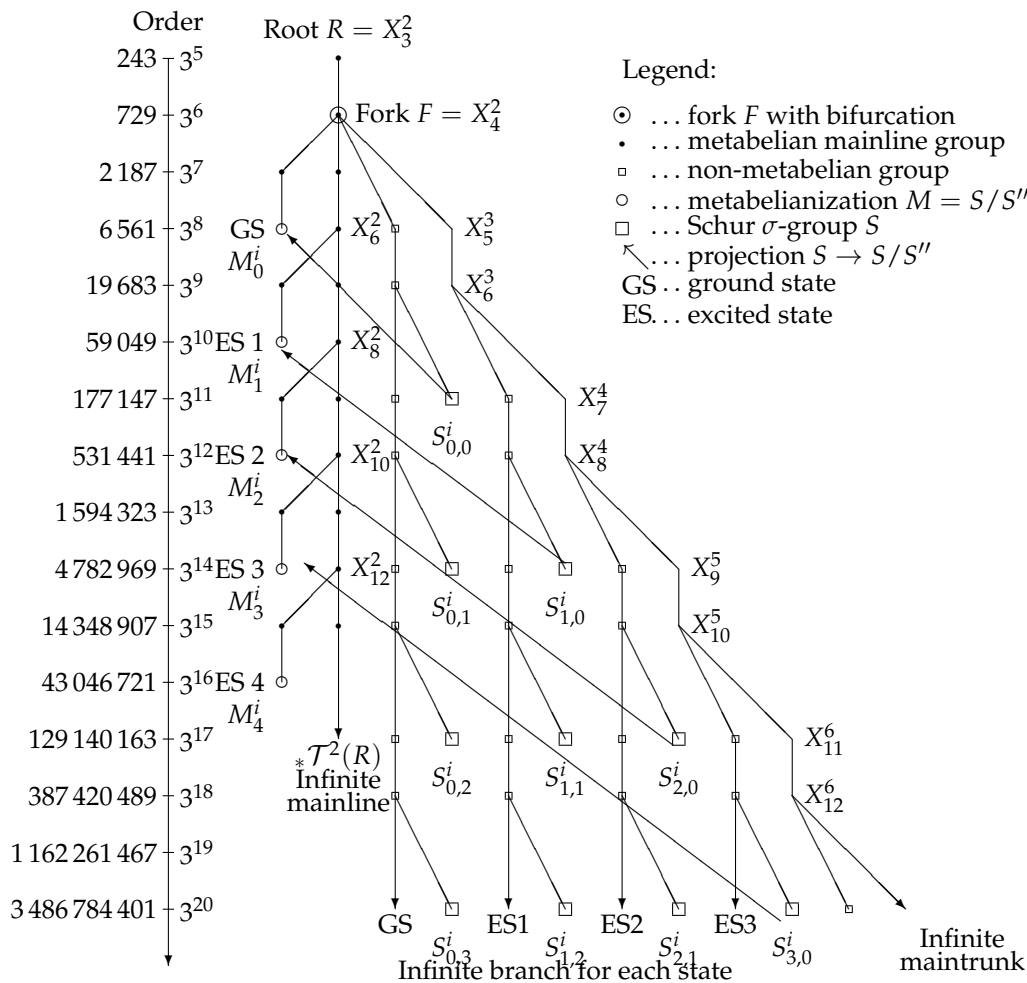


Figure 2. Schur σ -groups with complex type in excited states on $*\mathcal{T}(R)$

Secondly, via the fork F with **fork topology**, if $n \geq 1$,

$$S = T_{n,u}^i := F(-\#2; 1 - \#1; 1)^u (-\#1; 1 - \#1; 1)^{n-u} [-\#1; i - \#1; 1] \text{ with } 1 \leq u \leq n, \text{ i.e.} \tag{21}$$

Ostensively: if $v := n - u$, then the pairs (u, v) satisfy $u + v = n$ and run through $(1, n - 1), \dots, (n, 0)$.

The cover of the second 3-class group M_n^i with $i = 5, 6$ is always infinite, and its components becomes bigger when the state parameter $n \geq 0$ increases:

$$\begin{aligned} \text{cov}(M_n^i) &= \{R_{n,j}^i \mid j = 1, 2, (3)\} \cup \{\mathcal{T}_0(T_{n,u}^i) \mid 1 \leq u \leq n\} \cup \{\mathcal{T}_0(\pi(S_{n,t}^i)) \setminus \mathcal{T}_0(\pi(S_{n,t+1}^i)) \mid t \geq 0\} \text{ and} \\ \text{cov}_*(M_n^i) &= \{S_{n,t}^i \mid t \geq 0\}, \end{aligned} \tag{22}$$

where π denotes the parent-operator, and \mathcal{T}_0 is the pruned descendant tree restricted to σ -groups. The $\mathcal{T}_0(T_{n,u}^i)$ are n finite saplings whose depth $dp = 3 + 2u$ increases with u . The differences $\mathcal{T}_0(\pi(S_{n,t}^i)) \setminus \mathcal{T}_0(\pi(S_{n,t+1}^i))$ are successive finite sections of the infinite branch $\mathcal{T}_0(\pi(S_{n,0}^i))$, whose depth $dp = 3 + 2n$ increases with n . Their vertices conjecturally

possess unbounded soluble length. The claims are proved for **bounded state**, parametrized by the integer n (ground state $n = 0$, excited states $1 \leq n \leq 4$), but we conjecture they hold for any state $n \geq 0$.

To reduce the complexity of the tree diagram, Schur+1 σ -groups are not drawn in Figure 2. More details are drawn in Figure 3 for the ground state (GS) $n = 0$: the vertices $R_{0,j}^i$ with child topology $j = 1, 2, 3$ for type H.4 (drawn), $j = 1, 2$ for type G.16, and the finite section $\mathcal{T}_0(\pi(S_{00}^i)) \setminus \mathcal{T}_0(\pi(S_{01}^i))$ with depth $dp = 3$ of the infinite branch $\mathcal{T}_0(\pi(S_{00}^i))$ with periodic bifurcations. The vertices U_{0j}^i , $j = 1, \dots, 4$, and V_0^i refer to both complex hull types, for the terminal leaves W_{0j}^i , however, we have $j = 1, 2, 3$ for type H.4 (drawn), but $j = 1, 2$ only for type G.16.

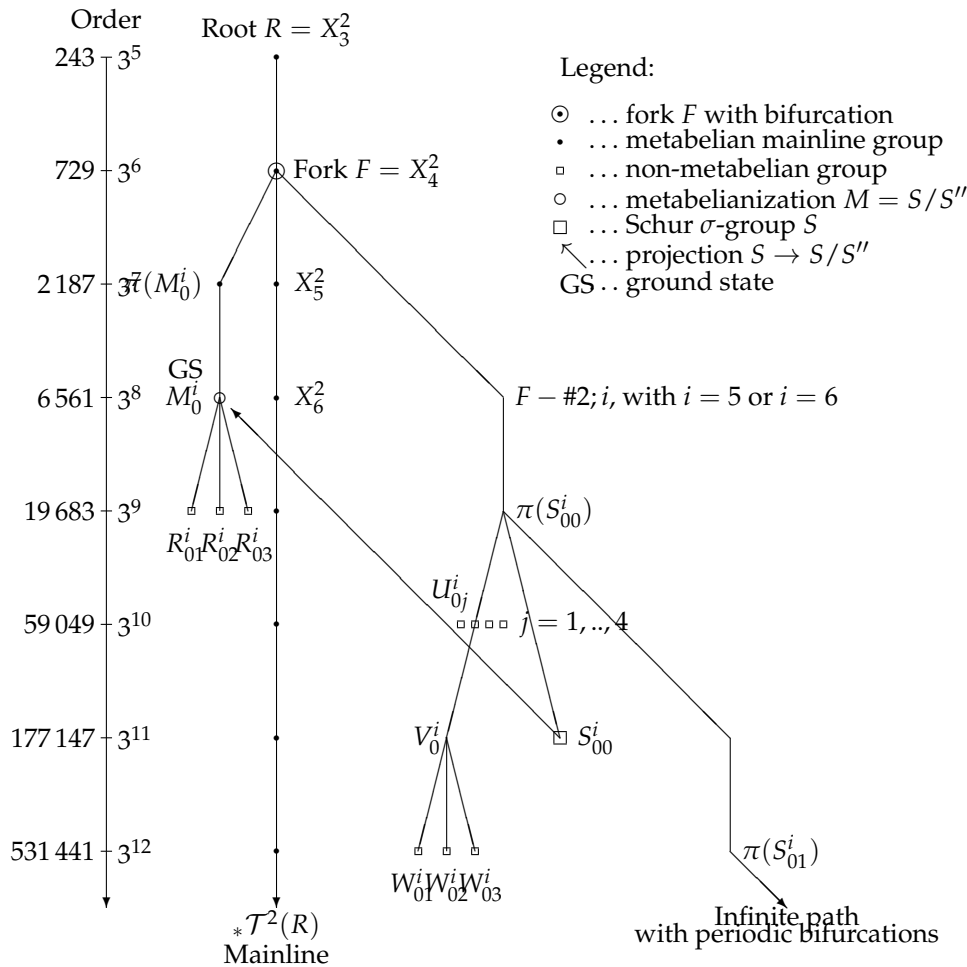


Figure 3. Topologies of 3-tower groups with complex type H.4 in the GS on $*\mathcal{T}^2(R)$

8. Proofs concerning the length of 3-class field towers

First we show in two Propositions 1 and 2 how the main lines and branches down to depth $dp = 1$ of both relevant trees, the Q -tree and the U -tree, can be given either by parametrized polycyclic pc-presentations or as quotients of infinite limit groups. These propositions concern the metabelianizations M with coclass $cc(M) = 2$ of simple and complex types simultaneously.

The reason why only the Q -tree and the U -tree are relevant for our assigned six TKTs in Formula (1) is given with the aid of the “main theorem on class and coclass from IPAD” and its corollary [20, § 4, Thm. 2 and Cor. 1] in § 11.1: after elimination of the finite 3-groups G of maximal class, i.e., coclass $cc(G) = 1$, among all descendants of the elementary bicyclic 3-group $\text{SmallGroup}(9, 2) = (\mathbb{Z}/3\mathbb{Z})^2$, we arrive at the seven groups in Hall’s isoclinism family Φ_6 by bifurcation from the extra-special 3-group $\langle 27, 3 \rangle$. Then the ε -invariant of the IPAD and the required shape of the six TKTs eliminates five of these groups, $\langle 243, i \rangle$ with $i = 3, 4, 5, 7, 9$, and only $\langle 243, 6 \rangle$, the parent of $Q = \langle 729, 49 \rangle$, and $\langle 243, 8 \rangle$, the parent of $U = \langle 729, 54 \rangle$, remain admissible.

The following result shows that certain 3-groups of class at least 5 on the coclass tree $\mathcal{T}^2(\langle 243, 6 \rangle)$ belong to $6 + 4 = 10$ periodic coclass sequences (6 odd, 4 even) with period length 2.

Proposition 1. For each integer $c \geq 5$, there are 6 metabelian descendants G of $\langle 243, 6 \rangle$, having nilpotency class $\text{cl}(G) = c$, coclass $\text{cc}(G) = 2$, and order $|G| = 3^{c+2}$, with two generators x, y and parametrized pc-presentation (where t_3 and s_j belong to the abelian subgroup $G' < G$)

$$\begin{aligned}
 G = \langle & x, y, s_2, t_3, s_3, s_4, \dots, s_c \mid \\
 & s_2 = [y, x], t_3 = [s_2, y], s_j = [s_{j-1}, x] \text{ for } 3 \leq j \leq c, \\
 & s_j^3 = s_{j+2}^2 s_{j+3} \text{ for } 2 \leq j \leq c - 3, s_{c-2}^3 = s_c^2, t_3^3 = 1, \\
 & R(x) = 1, R(y) = 1 \rangle,
 \end{aligned}
 \tag{23}$$

where the relators $R(x)$ and $R(y)$ are given by

$$\begin{aligned}
 R(x) &= \begin{cases} x^3 & \text{for } G \text{ of TKT c.18 or H.4, and} \\ x^3 s_c^{-1} & \text{for } G \text{ of TKT E.6 or E.14,} \end{cases} \\
 R(y) &= \begin{cases} y^3 s_3^{-2} s_4^{-1} & \text{for } G \text{ of TKT c.18 or E.6, and either} \\ y^3 s_3^{-2} s_4^{-1} s_c^{-1} & \text{or} \\ y^3 s_3^{-2} s_4^{-1} s_c^{-2} & \text{for } G \text{ of TKT H.4 or E.14.} \end{cases}
 \end{aligned}
 \tag{24}$$

For odd class $c \geq 5$ the 6 groups are pairwise non-isomorphic σ -groups.

For even class $c \geq 6$, the two pairs of groups sharing the same TKT (H.4 and E.14) are isomorphic, and thus only 4 groups are pairwise non-isomorphic, and only the mainline group is a σ -group.

Proof. The claims are a consequence of direct computations of the TKT as kernels of Artin transfers from G to its four maximal subgroups [3, Alg. 3.3, pp. 104–106], depending on the relators $R(x)$ and $R(y)$ but independently of the nilpotency class c . The relators have also been expressed in terms of exponential parameters α, β , as used by Blackburn for 3-groups of maximal class, in [3, Formulas 13–14, p. 107]. Skeleton type is (0122). \square

The following result shows that certain 3-groups of class at least 5 on the coclass tree $\mathcal{T}^2(\langle 243, 8 \rangle)$ belong to $6 + 4 = 10$ periodic coclass sequences (6 odd, 4 even) with period length 2.

Proposition 2. For each integer $c \geq 5$, there are 6 metabelian descendants G of $\langle 243, 8 \rangle$, having nilpotency class $\text{cl}(G) = c$, coclass $\text{cc}(G) = 2$, and order $|G| = 3^{c+2}$, with two generators x, y and parametrized pc-presentation (where s_3 and t_j belong to the abelian subgroup $G' < G$)

$$\begin{aligned}
 G = \langle & x, y, t_2, s_3, t_3, t_4, \dots, t_c \mid \\
 & t_2 = [y, x], s_3 = [t_2, x], t_j = [t_{j-1}, y] \text{ for } 3 \leq j \leq c, \\
 & t_j^3 = t_{j+2}^2 t_{j+3} \text{ for } 2 \leq j \leq c - 3, t_{c-2}^3 = t_c^2, s_3^3 = 1, \\
 & R(y) = 1, R(x) = 1 \rangle,
 \end{aligned}
 \tag{25}$$

where the relators $R(y)$ and $R(x)$ are given by

$$\begin{aligned}
 R(y) &= \begin{cases} y^3 s_3^{-1} & \text{for } G \text{ of TKT c.21 or G.16, and} \\ y^3 s_3^{-1} t_c^{-1} & \text{for } G \text{ of TKT E.8 or E.9,} \end{cases} \\
 R(x) &= \begin{cases} x^3 t_3^{-1} t_4^{-2} t_5^{-1} & \text{for } G \text{ of TKT c.21 or E.8, and either} \\ x^3 t_3^{-1} t_4^{-2} t_5^{-1} t_c^{-1} & \text{or} \\ x^3 t_3^{-1} t_4^{-2} t_5^{-1} t_c^{-2} & \text{for } G \text{ of TKT G.16 or E.9.} \end{cases}
 \end{aligned}
 \tag{26}$$

For odd class $c \geq 5$ the 6 groups are pairwise non-isomorphic σ -groups.

For even class $c \geq 6$, the two pairs of groups sharing the same TKT (G.16 and E.9) are isomorphic, and thus only 4 groups are pairwise non-isomorphic, and only the mainline group is a σ -group.

Proof. The claims are a consequence of direct computations of the TKT as kernels of Artin transfers from G to its four maximal subgroups, depending on the relators $R(y)$ and $R(x)$ but independently of the nilpotency class c . Here, the equivalent skeleton type is $(0134) \sim (0231)$. \square

For the special case of the Q -tree and the U -tree, the two Propositions 1 and 2 provide an explicit proof of the *periodicity of tree branches*, proven generally and independently in [21–25]. The finitely many pre-periodic instances down to class $c = 3, 4$ have similar pc-presentations with slight degenerations, due to the low values of c . However, our metabelianizations M in Theorem 3 and 5 have odd nilpotency class $\text{cl}(M) = 2n + 5 \geq 5$, for each state $n \geq 0$.

Corollary 2. For *simple* types, the metabelian second 3-class group $M = \text{Gal}(\mathbb{F}_3^2(k)/k)$ of the state with parameter $n \geq 0$ is given by $M = M_n^i := F(-\#1; 1 - \#1; 1)^n[-\#1; i]$, as a relative identifier with respect to the fork $F = Q$ or $F = U$. The final counter $2 \leq i \leq 4$ depends on the TKT, E.6 for $i = 2$, E.14 for $i = 3, 4$ on the Q -tree, E.8 for $i = 2$, E.9 for $i = 3, 4$ on the U -tree.

Proof. In a coclass tree, all edges have step size $s = 1$. All metabelianizations $M = M_n^i$ in the Formula (12) of Theorem 3 have odd nilpotency class $c = \text{cl} = 2n + 5$, fixed coclass $r = \text{cc} = 2$, and thus odd logarithmic order $\text{lo} = 2n + 7$. Thus they belong to the 6 odd periodic coclass sequences. They are terminal leaves with depth $\text{dp} = 1$ away from the mainline of the pruned coclass tree ${}^*\mathcal{T}^2(F)$ in Figure 1. Corresponding to the group G in Proposition 1, respectively 2, with odd class $c = 2n + 5$, their relative identifiers are of the shape $M = M_n^i := F(-\#1; 1 - \#1; 1)^n[-\#1; i]$ with state parameter $n \geq 0$ and counter $i = 2, 3, 4$ specifying the TKT, as given in the theorem. We add that the meaning of the counter $i = 1$ is the mainline vertex X_c^r with $r = 2$, Eqn. (29). \square

The complete theory of the mainlines with vertices of skeleton type, c.18, $\varkappa \sim (0122)$, on the Q -tree, respectively c.21, $\varkappa \sim (0231)$, on the U -tree, of the descendant tree $\mathcal{T}(R)$ with root R , containing an infinite main trunk of alternating step sizes $s = 2$ and $s = 1$, is based on the following infinite limit groups, due to Mike F. Newman.

Definition 11. The *skeleton group* with sign -1 for the Q -tree and sign $+1$ for the U -tree is

$$\mathcal{L}_{\mp 1} := \langle a, t \mid (at)^3 = a^3, [[t, a], t] = a^{\mp 3} \rangle. \tag{27}$$

For each coclass $r \geq 2$, the *mainline- r limit* is defined as a quotient of $\mathcal{L}_{\mp 1}$:

$$\mathcal{L}_{\mp 1}^r := \mathcal{L}_{\mp 1} / \langle a^{3^r} \rangle. \tag{28}$$

Finally, for each coclass $r \geq 2$, and for each nilpotency class $c \geq 2r - 1$, the *mainline- r vertex of class c* on the coclass- r tree $\mathcal{T}^r(X_{2r-1}^r)$ is defined as a quotient of $\mathcal{L}_{\mp 1}^{(r)}$:

$$X_c^r := \begin{cases} \mathcal{L}_{\mp 1}^r / \langle [t, a]^{3^j} \rangle & \text{if } c = 2j + 1 \text{ is odd with } j \geq r - 1, \\ \mathcal{L}_{\mp 1}^r / \langle t^{3^j} \rangle & \text{if } c = 2j \text{ is even with } j \geq r. \end{cases} \tag{29}$$

Theorem 6. (Mainline vertices as quotients of the limit group \mathcal{L}_{\mp} .)

1. For each coclass $r \geq 2$, and for each class $c \geq 2r - 1$, the mainline vertex of coclass r and nilpotency class c in the tree $\mathcal{T}(R)$ is isomorphic to X_c^r .
2. For each coclass $r \geq 2$, the projective limit of the mainline $(X_c^r)_{c \geq 2r-1}$ with vertices of coclass r in the tree $\mathcal{T}(R)$ is isomorphic to $\mathcal{L}_{\mp}^{(r)}$.
3. \mathcal{L}_{\mp} is an infinite non-nilpotent profinite limit group.

Proof. In [3, Alg. 3.1, Rmk. 3.1–2, Thm. 3.3, Cnj. 3.2, pp. 93–96], item (3) was proved generally. By means of top-down techniques, item (2) was proved for bounded coclass $2 \leq r \leq 8$, and item (1) for bounded coclass

$2 \leq r \leq 8$ and bounded class $2r - 1 \leq c \leq 35$. Since bottom-up techniques admit much bigger bounds $r \leq 32$ and $c \leq 63$, that is a logarithmic order of $c + r = 95$, items (1) and (2) were conjectured to hold for any coclass r , and item (1) for any class c . However, the Formulas (27), (28), and (29) comprise parametrized formation laws with two unbounded parameters r and c . Therefore, they hold generally, since they are independent of verifications with the aid of necessarily limited information technology in the form of the indicated version V2.22-7 of the computational algebra system Magma [14]. \square

Definition 12. For $e \in \{0, 1\}$ ($e = 0$ for the Q -tree and $e = 1$ for the U -tree), we define the *cover limit* (according to Mike F. Newman) to be the infinite limit group

$$\mathcal{C}^{(e)} := \langle a, t, u, y, z \mid t^a = u, u^a t u y = [u, t]^e, a^3 [t, a, t] = z, [u, t, t] = [u, t, u] = 1, y^3 = 1, [a, y] = [t, y] = [u, y] = [z, y] = 1, z^3 = 1, [t, z] = [u, z] = 1 \rangle, \tag{30}$$

which is related to a group in [23]. For each $\ell \in \{-1, 0, 1\}$ and for each integer $c \geq 4$, let

$$\mathcal{Q}_c^{(e, \ell)} := \mathcal{C}^{(e)} / \langle y w_c^\ell v_c, z w_c \rangle, \tag{31}$$

be the *class- c quotient with parameter ℓ* of $\mathcal{C}^{(e)}$, where $w_c := [t, \overbrace{a, \dots, a}^{(c-1) \text{ times}}]$ and $v_c := [w_{c-2}, [t, a]]$.

The next theorem is a second crucial ingredient of this proof section, establishing the finiteness and structure of the *cover* for each metabelian 3-group M with transfer kernel type in section E.

Theorem 7. (Explicit covers of metabelian 3-groups.) Let M_n^i in the coclass-2 tree $\mathcal{T}^2(X_3^2)$ be the metabelianization of odd nilpotency class $c = 2n + 5 \geq 5, n \geq 0$, with **simple TKT**

$$\varkappa(k) = \begin{cases} (1122), \text{ E.6, resp. } (1231), \text{ E.8} & \text{if } i = 2, \\ (3122), \text{ E.14, resp. } (2231), \text{ E.9} & \text{if } i = 3 \text{ or } i = 4. \end{cases}$$

1. The **cover** of M_n^i is given by

$$\text{cov} \left(M_n^i \right) = \left\{ T_{n,1}^i, \dots, T_{n,n}^i, S_n^i \right\}, \quad \text{for } n \geq 0, 2 \leq i \leq 4. \tag{32}$$

In particular, the cover is a finite set with $n + 1$ elements which are σ -groups.

2. The **Shafarevich cover** of M_n^i with respect to imaginary quadratic fields k is given by

$$\text{cov}_* \left(M_n^i \right) = \left\{ S_n^i \right\}, \quad \text{for } n \geq 0, 2 \leq i \leq 4. \tag{33}$$

In particular, the Shafarevich cover contains a unique non-metabelian Schur σ -group.

3. The class- c quotient with parameter ℓ of the cover limit $\mathcal{C}^{(e)}$ is isomorphic to the Schur σ -group $S_n^i \simeq \mathcal{Q}_c^{(e, \ell)}$, for odd class $c = 2n + 5, n \geq 0$. The precise correspondence between the parameters ℓ and i is given in the following way:

$$\begin{aligned} \text{simple type E.6 : } \mathcal{Q}_c^{(0,0)} &\simeq S_n^2 \in \mathcal{T}(Q), \ell = 0 \text{ corresponds to } i = 2, \\ \text{simple type E.8 : } \mathcal{Q}_c^{(1,0)} &\simeq S_n^2 \in \mathcal{T}(U), \ell = 0 \text{ corresponds to } i = 2, \\ \text{simple type E.14 : } \mathcal{Q}_c^{(0,-1)} &\simeq S_n^3 \in \mathcal{T}(Q), \ell = -1 \text{ corresponds to } i = 3, \\ \text{simple type E.9 : } \mathcal{Q}_c^{(1,-1)} &\simeq S_n^3 \in \mathcal{T}(U), \ell = -1 \text{ corresponds to } i = 3, \\ \text{simple type E.9 : } \mathcal{Q}_c^{(1,+1)} &\simeq S_n^4 \in \mathcal{T}(U), \ell = +1 \text{ corresponds to } i = 4. \end{aligned} \tag{34}$$

The variant $e = 0$, respectively $e = 1$, is associated to the root $R = \langle 243, 6 \rangle$, respectively $R = \langle 243, 8 \rangle$.

4. A parametrized family of **fork topologies** for second 3-class groups $M = \text{Gal}(F_3^2(k)/k)$ of imaginary quadratic fields k is given uniformly for the states $n \geq 0$ (ground state, GS, for $n = 0$, excited state, ES n , for $n \geq 1$) of **simple** transfer kernel types in section E by the following **symmetric topology symbol** for the connecting path P ,

$$P = \overbrace{E \xrightarrow{1}}^{\text{Leaf}} \overbrace{\left\{ c \xrightarrow{1} c \xrightarrow{1} \right\}^n}^{\text{Mainline}} \overbrace{c}^{\text{Fork}} \overbrace{\left\{ \xleftarrow{2} c \xleftarrow{1} c \right\}^n}^{\text{Maintrunk}} \overbrace{\xleftarrow{2} E}^{\text{Leaf}} \tag{35}$$

with skeleton type c and the following invariants: distance $d = (2n + 1) + (2n + 1) = 4n + 2$, weighted distance $w = (2n + 1) + (3n + 2) = 5n + 3$, class increment $\Delta cl = (2n + 5) - (2n + 5) = 0$, coclass increment $\Delta cc = (n + 3) - 2 = n + 1$, logarithmic order increment $\Delta lo = (3n + 8) - (2n + 7) = n + 1$.

Proof. We compare the uniform generator rank $d_1 = 2$ of all involved groups M_n^i and S_n^i with odd class $c = 2n + 5 \geq 5$, coclass $r \geq 2$, and counter $2 \leq i \leq 4$ specifying the simple TKT, with their relation rank d_2 . Since $d_2 = \mu$ and the 3-multiplicator rank is $\mu = 2$ for S_n^i with odd class $c = 2n + 5 \geq 5$ and coclass $r = n + 3 \geq 3$, but $\mu = 3$ for M_n^i with $r = 2$, only the groups S_n^i are Schur σ -groups with balanced presentation $d_2 = 2 = d_1$, and are therefore admissible as 3-class field tower groups S of imaginary quadratic fields k , according to our corrected version, Theorem 1, of the Shafarevich Theorem and Corollary 1. Finally we remark that the nuclear rank is $\nu = 0$ for M_n^i with odd class $c = 2n + 5$, i.e., the metabelianizations $M = M_n^i$ are terminal leaves.

Since the finite class- c quotients $Q_c^{(e,k)}$ with odd $c \geq 5$ and parameter $\ell = -1, 0, +1$ of the infinite cover limit $C^{(e)}$, $e = 0, 1$, contain the unlimited nilpotency class c , Theorem 7 can be stated without bounds, in contrast to the careful formulation of [3, Thm. 3.5 and Cnj. 3.3, pp. 98–100] with bound $n \leq 8$ for the state parameter n .

We remark that the execution of Algorithm 3.2 in [3, pp. 97–98] experimentally proves the isomorphisms $Q_c^{(e,\ell)} \simeq S_n^i$, with odd class $c = 2n + 5$ and suitably corresponding parameters ℓ and i , for $5 \leq c \leq 21$, that is, $0 \leq n \leq 8$. The algorithm is initialized by the starting group $R = X_3^2$ of coclass 2, and navigates through the mainline vertices X_c^2 , $c \geq 3$, of the coclass tree $T^2(X_3^2)$. A subroutine tests the transfer kernel type TKT of all descendants and selects either the unique capable descendant with skeleton type c.18, respectively c.21, or the unique descendant with simple hull type E.6, respectively E.8, or the first or second descendant with simple hull type E.14, respectively E.9. The selected non-mainline vertex is always checked for isomorphism to the metabelianization of the appropriate quotient $Q_c^{(e,\ell)}$. However, the bound $n \leq 8$, is only due to the unavoidable limitations of the computational algebra system Magma [14], and does not restrict the generality of the infinite cover limit $C^{(e)}$ and its quotients $Q_c^{(e,\ell)}$. \square

A pure bottom up approach without top down constructions, instead of using Algorithm 3.2 in [3, pp. 97–98], is able to reach coclass $r = 32$, nilpotency class $c = 63$, and logarithmic order $r + c = 95$, without surpassing internal limits of Magma, and thus provides even stronger support for Theorem 7, from the experimental point of view.

Currently, a drawback of the quotients $Q_c^{(0,+1)}$ with $e = 0, \ell = +1, c \geq 5$, which lead into a completely different realm, namely the complicated brushwood of the complex transfer kernel type H.4, is that they cannot be interpreted as covers of metabelianizations M_n^i .

A common feature of all Theorems 2–5 together are certain general requirements for Galois groups $\text{Gal}(F_p^q(k)/k)$, with a positive integer q , of the stages of the p -class field tower with an odd prime p , of quadratic number fields $k = \mathbb{Q}(\sqrt{d})$.

Generally, any Galois group $G = \text{Gal}(F_3^q(k)/k)$, with a positive integer q , must be a σ -group, possessing an automorphism which acts as inversion on both cohomology groups $H^i(G, \mathbb{F}_3)$, with $i = 1, 2$, and the 3-class field tower group $S = \text{Gal}(F_3^\infty(k)/k)$ additionally must satisfy the Shafarevich bound for the relation rank, $2 \leq d_2(S) \leq d_{2,\max}$ with $d_{2,\max} = 2$ for imaginary k , enforcing a Schur σ -group, and $d_{2,\max} = 3$ for real k , admitting also a Schur+1 σ -group [6,13].

For each simple type in section E [18, p. 36], and separately for each complex type in the sections G and H [18, pp. 36–38], the candidates for the metabelianization $M = S/S'' = \text{Gal}(F_3^2(k)/k)$ and for the 3-class field tower group $S = \text{Gal}(F_3^\infty(k)/k)$ itself are identified by a search along the descendant tree of either the root $Q = \langle 729, 49 \rangle$ or $U = \langle 729, 54 \rangle$ [4,5], constructed with the p -group generation algorithm by Newman [16,26] and O'Brien [15,17]. The claims for the ground state $n = 0$ and the lower excited states $n = 1, 2, 3, 4$ are covered by initial computations with Magma [14,27–29], and evidence of statements for higher excited states $n \geq 5$ is

provided for the metabelianizations M_n^i either by Corollary 2 or by the *periodicity of tree branches*, which was proven independently in [21–25].

In the notation with relative identifiers [19], and starting from one of the forks with bifurcation $F \in \{Q, U\}$ as roots, for simple types, the metabelianizations turn out to be of the shape $M = F(-\#1; 1 - \#1; 1)^n[-\#1; i]$, and $M = F(-\#1; 1 - \#1; 1)^n[-\#1; i - \#1; 1]$ for complex types, since, in the latter situation, for $G = \pi(M) = F(-\#1; 1 - \#1; 1)^n[-\#1; i]$, an automorphism acts as inversion on $H^1(G, \mathbb{F}_3)$ only but not on $H^2(G, \mathbb{F}_3)$. So the group M is still a vertex of the coclass tree $\mathcal{T}^2(F)$ with exclusive step size $s = 1$ and thus with fixed coclass 2. It has depth $\text{dp} = 1$ for simple types and $\text{dp} = 2$ for complex types.

However, periodic bifurcations must actually be exploited for $S = F(-\#2; 1 - \#1; 1)^n[-\#2; i]$, if the type is simple, and for $S = F(-\#2; 1 - \#1; 1)^n[-\#2; i - \#1; 1][-\#2; 1 - \#1; 1]^t[-\#2; 2]$, when the type is complex, due to infinite branches with infinitely many candidates for each state $n \geq 0$, expressed by the second parameter $t \geq 0$.

8.1. Proofs for simple types

Proof. We prove Theorems 2 and 3 together. The group theoretic invariants of the metabelianizations M_n^i and the Schur σ -groups S_n^i for any state $n \geq 0$ and counters $2 \leq i \leq 4$ are: logarithmic order, $\text{lo}(M_n^i) = \text{lo}(F) + 2n + 1 = 2n + 7$, $\text{lo}(S_n^i) = \text{lo}(F) + 3n + 2 = 3n + 8$, nilpotency class, $\text{cl}(M_n^i) = \text{cl}(F) + 2n + 1 = 2n + 5$, $\text{cl}(S_n^i) = \text{cl}(F) + 2n + 1 = 2n + 5$, and coclass, $\text{cc}(M_n^i) = \text{cc}(F) + 0 = 2$, $\text{cc}(S_n^i) = \text{cc}(F) + n + 1 = n + 3$, since the fork possesses the invariants $\text{lo}(F) = 6$, $\text{cl}(F) = 4$, $\text{cc}(F) = 2$.

The invariants of the Schur+1 σ -groups $T_{n,u}^i$ for excited states $n \geq 1$, $1 \leq u \leq n$ and counters $2 \leq i \leq 4$ are: logarithmic order, $\text{lo}(T_{n,u}^i) = \text{lo}(F) + 3u + 2(n - u) + 1 = 2n + 2u + 7$, nilpotency class, $\text{cl}(T_{n,u}^i) = \text{cl}(F) + 2u + 2(n - u) + 1 = 2n + 5$, and coclass, $\text{cc}(T_{n,u}^i) = \text{cc}(F) + u = u + 2$.

In [3, Dfn. 3.3, Eqn. 6–7, p. 97], an infinite *cover limit* group $\mathcal{C}^{(e)}$, due to M. F. Newman, is defined for two parameter values $e = 0, 1$, together with class- c quotients $\mathcal{Q}_c^{(e,k)}$ of $\mathcal{C}^{(e)}$ with parameter $k = -1, 0, +1$ and nilpotency class $c \geq 4$. In [3, Thm. 3.5, Eqn. 8–12, pp. 98–100], it is shown that, for odd nilpotency class $c = 2n + 5 \geq 5$, $\mathcal{Q}_c^{(0,0)} \simeq S_n^2$, $\mathcal{Q}_c^{(0,-1)} \simeq S_n^4$ on the Q -tree, and $\mathcal{Q}_c^{(1,0)} \simeq S_n^2$, $\mathcal{Q}_c^{(1,-1)} \simeq S_n^3$, $\mathcal{Q}_c^{(1,+1)} \simeq S_n^4$ on the U -tree. Note that in [3], the Schur σ -group S_n^i is denoted by $S_{2n+5, i-1}^{(n+3)}$ for $2 \leq i \leq 4$, emphasizing the nilpotency class $c = 2n + 5$ and the coclass $r = n + 3$, for each state $n \geq 0$. The results are translated to the present notation in Theorem 7 (with k replaced by ℓ).

Theorem 3 is now an immediate consequence of the parametrized polycyclic pc-presentations in Proposition 1–2 and the relative identifiers in Corollary 1, which give the shape of M_n^i , the quotients of the mainline- r limit groups $\mathcal{L}_{\mp 1}^r$ in Theorem 6, which give the complete skeleton, and the quotients of the cover limit group $\mathcal{C}^{(e)}$ in Theorem 7, which give the covers S_n^i .

Theorem 2 follows by computation of the abelian type invariants of second order, $\alpha^{(2)}(k)$, of all groups $M_n^i, S_n^i, T_{n,u}^i$. \square

8.2. Proofs for complex types

Proof. We prove Theorems 4 and 5 together. So far we have only paid attention to the Schur σ -groups among the candidates for S . For *imaginary* k , these are the only non-metabelian candidates. For *real* k with *simple* types, the non-metabelian Schur+1 σ -groups $T_{n,u}^i$ arise as additional candidates for S . For *real* k with *complex* types, however, various further non-metabelian Schur+1 σ -groups are also admissible. They all share a common metabelianization $M = S/S''$.

At this point, the (logarithmic) abelian type invariants of the second order $\alpha^{(2)}(k)$ come into the play. For *simple* types, the metabelianization M is a terminal vertex of its descendant tree, and we obtain *sharp necessary and sufficient criteria*, since the *regular* components of the *stabilization* are tame, $[21; \alpha_0, (\mathbf{21})^3]$, in the case $S = M$ of a tower with two stages, and wild, $[21; \alpha_0, (\mathbf{31})^3]$, when the tower has precisely three stages. On the tree with root Q , the *singular* component of the *stabilization* admits an additional decision, it is tame, $[1^3; \alpha_0, (\mathbf{1}^3)^3, (\mathbf{1}^2)^9]$, when $\ell_3(k) = 2$, i.e. $S = M$ is a metabelian Schur+1 σ -group, and wild, $[1^3; \alpha_0, (\mathbf{21}^2)^3, (\mathbf{1}^2)^9]$, when $\ell_3(k) = 3$, i.e. $S \neq M$ is a non-metabelian Schur σ -group S_n^i or Schur+1 σ -group $T_{n,u}^i$. Here the *heterocyclic polarization* $[(n + 3, n + 2); \alpha_0, (n + 3, n + 1, 1)^3]$ is always tame and does not enable a decision. See [20, § 4, Thm. 2] for this terminology concerning the first order ATI. The Schur σ -groups S are drawn in Figure 1 for *simple* types,

and in Figure 2 for complex types. The path between S and M always represents a so-called *fork topology* with respect to the first bifurcation F in the skeleton.

For real k and complex types, there arise additional possibilities of Schur+1 σ -groups, besides the Schur σ -groups described for imaginary k . These additional candidates for complex types are not drawn in Figure 2 in order to avoid too complicated tree structures. For the GS, they are drawn in Figure 3.

Firstly, a so-called *child topology* for the path between S and M can be realized by one of the immediate non-metabelian descendants $R_{0j}^i = M - \#1; j, j = 1, 2, 3$ for type H.4, $j = 1, 2$ for type G.16, of the non-terminal metabelianization $M = M_n^i = F(-\#1; 1 - \#1; 1)^n[-\#1; i - \#1; 1]$. They share a common tame stabilization. The polarization $[(n + 3, n + 2); \alpha_0, (n + 4, n + 1, 1)^3]$ is wild when $j = 1, 2$ for type H.4 and $j = 1$ for type G.16. These are the only cases where the tower even has *precisely three stages*. The crux concerning two stage towers is that $j = 3$ for type H.4 and $j = 2$ for type G.16 shows a tame polarization $[(n + 3, n + 2); \alpha_0, (n + 3, n + 1, 1)^3]$ and thus cannot be distinguished from a metabelian tower.

Secondly, further *fork topologies* for the path between S and M can be due to immediate descendants $G[-\#1; j]$ with step size $s = 1$ of $G = \pi(S_{n,t}^i) = F(-\#2; 1 - \#1; 1)^n[-\#2; i - \#1; 1][-\#2; 1 - \#1; 1]^t$ with $i = 5, 6$. They share a common wild stabilization. The polarization $[(n + 3, n + 2); \alpha_0, (n + 4, n + 1, 1)^3]$ is wild when $j = 3$ for type H.4 and $j = 1$ for type G.16. The polarization $[(n + 3, n + 2); \alpha_0, (n + 3, n + 1, 1)^3]$ is tame when $j = 1, 4$ for type H.4 and $j = 3, 4$ for type G.16. For both types, $j = 2$ gives rise to a finite sapling with depth $2n$ sharing a common wild polarization. See Figure 3, for the ground state GS $n = 0$.

In Theorem 5, the modified shape of $M_n^i = F(-\#1; 1 - \#1; 1)^n[-\#1; i - \#1; 1]$ is even proven for unbounded states $n \geq 0$, due to the parametrized polycyclic pc-presentations in Proposition 1–2 and an easy generalization of the relative identifiers in Corollary 1. The shape of the covers $S_{n,t}^i$ is investigated experimentally for bounded states $0 \leq n \leq 4$ only.

Theorem 4 follows by computation of the abelian type invariants of second order, $\alpha^{(2)}(k)$, of all involved groups. \square

9. Recall of simple types in the imaginary quadratic scenario, $d < 0$

Suppose p is an odd prime number. Let $k = \mathbb{Q}(\sqrt{d})$, with fundamental discriminant $d < 0$, be an *imaginary* quadratic number field possessing an elementary bicyclic p -class group $Cl_p(k) = (\mathbb{Z}/p\mathbb{Z})^2$. Then the Galois group $S = \text{Gal}(\mathbb{F}_p^\infty(k)/k)$ of the maximal unramified pro- p -extension of k is a non-abelian Schur σ -group [7] with balanced presentation and a generator and relator inverting automorphism σ of order 2 [30]. The second derived quotient S/S'' of S is isomorphic to the Galois group $M = \text{Gal}(\mathbb{F}_p^2(k)/k)$ of the maximal metabelian unramified p -extension of k .

For $p = 3$, we recall the well-known facts concerning the ground state (GS) and the excited states (ES) of 3-tower groups S of k with capitulation type $\varkappa(k)$ in section E of Scholz and Taussky [18]:

Theorem 8. *Let the (logarithmic) abelian type invariants (ATI) of the 4 unramified cyclic cubic extensions E_1, \dots, E_4 of k be assigned by either $\alpha(k) = [32, 111, 21, 21]$ or $\alpha(k) = [32, 21, 21, 21]$. In this context, we speak about the **ground state** of the groups M and S with **heterocyclic polarization** (32), characterized by the nilpotency class $cl(M) = 3 + 2 = 5$ of M . In terms of the identifiers $\langle \text{ord}, \text{id} \rangle$ in the SmallGroups database [4] of the computer algebra system Magma [14,29], the groups M and S are given as follows in dependence of the capitulation type:*

1. if $\varkappa(k) \sim (1122)$, type E.6, then $M = \langle 2187, 288 \rangle$ and $S = \langle 6561, 616 \rangle$;
2. if $\varkappa(k) \sim (3122)$, type E.14, then either $M = \langle 2187, 289 \rangle$ and $S = \langle 6561, 617 \rangle$ or $M = \langle 2187, 290 \rangle$ and $S = \langle 6561, 618 \rangle$;
3. if $\varkappa(k) \sim (1231)$, type E.8, then $M = \langle 2187, 304 \rangle$ and $S = \langle 6561, 622 \rangle$;
4. if $\varkappa(k) \sim (2231)$, type E.9, then either $M = \langle 2187, 302 \rangle$ and $S = \langle 6561, 620 \rangle$ or $M = \langle 2187, 306 \rangle$ and $S = \langle 6561, 624 \rangle$. The path between M and S is drawn in Figure 1, starting at the symbol GS. For the types E.6 and E.14, the root is $R = \langle 243, 6 \rangle$, and for the types E.8 and E.9, the root is $R = \langle 243, 8 \rangle$.

Proof. For $\varkappa(k) \sim (2231)$, type E.9, the statement was proved in [10, Cor. 4.1.1, p. 775]. For the other types, the proof was given in [31] and [32]. The trees with minimal discriminants d of prototypes are drawn in [6, Figure 1–2, pp. 24–25]. \square

Remark 1. The four capitulation types in section E can be distinguished by the number of fixed points (FP): type E.14, $\varkappa(k) \sim (3122)$, has 0 FP, type E.6, $\varkappa(k) \sim (1122)$, has 1 FP, type E.9, $\varkappa(k) \sim (2231)$, has 2 FP, and type E.8, $\varkappa(k) \sim (1231)$, has 3 FP. They are *simple* types.

Theorem 9. With an integer $n \geq 1$, let the (logarithmic) abelian type invariants (ATI) of the 4 unramified cyclic cubic extensions E_1, \dots, E_4 of k be fixed by either $\alpha(k) = [(n + 3, n + 2), 111, 21, 21]$ or $\alpha(k) = [(n + 3, n + 2), 21, 21, 21]$. In this context, we speak about the n -th excited state of the groups M and S , characterized by the nilpotency class $\text{cl}(M) = n + 3 + n + 2 = 2n + 5$ of M . Then the groups M and S with heterocyclic polarization $(n + 3, n + 2)$, are given by the following paths:

$$\begin{aligned} M &= R(-\#1; 1)(-\#1; 1 - \#1; 1)^n[-\#1; i] \text{ and} \\ S &= R(-\#1; 1)(-\#2; 1 - \#1; 1)^n[-\#2; i], \end{aligned} \tag{36}$$

in terms of relative ANUPQ-identifiers [19]. The dependency of the capitulation type is expressed by $2 \leq i \leq 4$. For $1 \leq n \leq 4$, the path between M and S is drawn in Figure 1, starting at the symbol $ES\ n$. For the types E.6 and E.14, the root is $R = \langle 243, 6 \rangle$, and for the types E.8 and E.9, the root is $R = \langle 243, 8 \rangle$.

Proof. The proof was given in [31] and [32]. Note that $R(-\#1; 1) = \langle 729, 49 \rangle$ for $R = \langle 243, 6 \rangle$, and $R(-\#1; 1) = \langle 729, 54 \rangle$ for $R = \langle 243, 8 \rangle$, is the first tree vertex with bifurcation ($1 \leq s \leq 2$). \square

Example 1. For the type E.9, $\varkappa(k) \sim (2231)$, the minimal discriminants of prototypes for the states are given by $d = -9748$ for GS, $d = -297\,079$ for ES 1, $d = -1\,088\,808$ for ES 2, $d = -11\,091\,140$ for ES 3, and $d = -94\,880\,548$ for ES 4, computed with Magma [14] using [15].

10. Tree diagrams

In both tree diagrams of Figure 1 and 2, there are two possible realizations of the root R on the top of the tree diagram. Both of these finite 3-groups have nilpotency class $\text{cl}(R) = 3$ and coclass $\text{cc}(R) = 2$. In both cases, R belongs to Hall’s isoclinism family Φ_6 [33, p. 139]. On The Q -tree, the root is $R = \langle 243, 6 \rangle$ with skeleton type c.18, $\varkappa(k) \sim (0122)$. On The U -tree, the root is $R = \langle 243, 8 \rangle$ with skeleton type c.21, $\varkappa(k) \sim (0231)$.

10.1. The subtree ${}^*\mathcal{T}(R)$ pruned from complex types

Remarks concerning Figure 1. The figure is restricted to the root region up to logarithmic order $\text{lo} = 20$, and to the pruned subtree ${}^*\mathcal{T}(R) < \mathcal{T}(R)$ of the complete descendant tree of the root R , where the complex types are eliminated, and only simple types in section E of Scholz and Taussky [18] remain as terminal leaves, connected by mainline vertices of skeleton type. By ${}^*\mathcal{T}^2(R)$, we denote the pruned subtree of ${}^*\mathcal{T}(R)$ with fixed coclass $\text{cc} = 2$, a so-called *coclass tree*. In terms of relative ANUPQ-identifiers [19], the Schur σ -groups [34] with simple types are given by periodic bifurcations as in Formula (12),

$$S = S_n^i := R(-\#1; 1)(-\#2; 1 - \#1; 1)^n[-\#2; i], \quad 2 \leq i \leq 4,$$

a unique Schur σ -group for each state $n \geq 0$. Parentheses enclose vertices with skeleton type, and brackets surround vertices with simple types. The situation is unproblematic with respect to ambiguities, since the Schur σ -group S with $M = S/S''$ is unique, but Schur+1 σ -groups [35] with simple types also exist. The metabelianizations are given as second derived quotients as in Formula (12),

$$M = M_n^i := S/S'' = R(-\#1; 1)(-\#1; 1 - \#1; 1)^n[-\#1; i], \quad 2 \leq i \leq 4,$$

on the pruned coclass subtree ${}^*\mathcal{T}^2(R)$.

The differences between the two possible realizations can be summarized as follows:

- **The Q -tree:** It is pruned from the complex type H.4, $\varkappa(k) \sim (2122)$, and the skeleton type of the mainline is c.18, $\varkappa(k) \sim (0122)$. In particular, the root $R = \langle 243, 6 \rangle$ and its immediate descendant, the fork $Q = \langle 729, 49 \rangle = \langle 243, 6 \rangle(-\#1; 1)$, are of skeleton type. The fork $F = Q$ has a bifurcation, due to *nuclear rank* $\nu = 2$. The type of the terminal leaves is E.6, $\varkappa(k) \sim (1122)$, for $i = 2$, and E.14, $\varkappa(k) \sim (3122)$, for $i = 3, 4$.

- **The U -tree:** It is pruned from the complex type G.16, $\varkappa(k) \sim (4231)$, and the skeleton type of the mainline is c.21, $\varkappa(k) \sim (0231)$. In particular, the root $R = \langle 243, 8 \rangle$ and its immediate descendant, the fork

$U = \langle 729, 54 \rangle = \langle 243, 8 \rangle(-\#1; 1)$, possess the skeleton type. The fork $F = U$ has a bifurcation to different *step sizes* $s = 1$ and $s = 2$. The type of the terminal leaves is E.8, $\varkappa(k) \sim (1231)$, for $i = 2$, and E.9, $\varkappa(k) \sim (2231)$, for $i = 3, 4$.

10.2. The subtree ${}^*\mathcal{T}(R)$ pruned from simple types

Remarks concerning Figure 2. The figure is restricted to the root region up to logarithmic order $lo = 20$, and to the *pruned subtree* ${}^*\mathcal{T}(R) < \mathcal{T}(R)$ of the complete descendant tree of the root R , where the simple types in section E of Scholz and Taussky [18] are eliminated, and only complex types remain as finite or infinite branches, connected by mainline vertices of skeleton type. By ${}^*\mathcal{T}^2(R)$, we denote the pruned subtree of ${}^*\mathcal{T}(R)$ with fixed coclass $cc = 2$, a so-called *coclass tree*.

The differences between the two possible realizations can be summarized as follows:

- **The Q-tree:** It is pruned from the simple types, E.6, $\varkappa(k) \sim (1122)$, and E.14, $\varkappa(k) \sim (3122)$. The skeleton type of the mainline is c.18, $\varkappa(k) \sim (0122)$. In particular, the root $R = \langle 243, 6 \rangle$ and its immediate descendant, the fork $Q = \langle 729, 49 \rangle = \langle 243, 6 \rangle(-\#1; 1)$, are of skeleton type. The fork $F = Q$ has a bifurcation, due to *nuclear rank* $\nu = 2$. The type of the infinite branches is the complex hull type H.4, $\varkappa(k) \sim (2122)$.
- **The U-tree:** It is pruned from the simple types, E.8, $\varkappa(k) \sim (1231)$, and E.9, $\varkappa(k) \sim (2231)$. The skeleton type of the mainline is c.21, $\varkappa(k) \sim (0231)$. In particular, the root $R = \langle 243, 8 \rangle$ and its immediate descendant, the fork $U = \langle 729, 54 \rangle = \langle 243, 8 \rangle(-\#1; 1)$, possess the skeleton type. The fork $F = U$ has a bifurcation to different *step sizes* $s = 1$ and $s = 2$. The type of the infinite branches is the complex hull type G.16, $\varkappa(k) \sim (4231)$.

10.3. Details for the complex ground state

Remarks concerning Figure 3. A detailed tree diagram for the ground state (GS) of *complex types* is drawn in Figure 3. It is pruned from simple types, and restricted to skeleton types and complex types. Four different scenarios can be realized by the same diagram: the two trees with fork F equal to $Q = \langle 729, 49 \rangle$ or $U = \langle 729, 54 \rangle$, and each of them with two possible selections of the metabelianization M_0^i , sharing the same complex type in the GS.

• **The Q-tree:** Skeleton type is c.18, (0122), which forms the infinite mainline of the tree $\mathcal{T}^2(R)$ with fixed coclass 2, starting at the root $R = \langle 243, 6 \rangle$, passing the fork $F = Q = \langle 729, 49 \rangle$ with nuclear rank $\nu = 2$ and bifurcation, on the one hand with permanent step size $s = 1$ to $X_5^2 = \langle 2187, 285 \rangle$, $X_6^2 = \langle 6561, 2024 \rangle$, etc. [36, Figure 3, p. 151], and on the other hand with alternating step sizes $s = 2$ and $s = 1$ on an infinite path with complex type H.4, (2122), and with periodic bifurcations, giving rise to unboundedly increasing coclass. We are interested in two siblings of $\langle 2187, 285 \rangle$ rather than in the mainline. They lead to two arithmetically indistinguishable candidates for the metabelianization $M = \text{Gal}(\mathbb{F}_3^2(K)/K)$ of the 3-class field tower group.

1. Either via the sibling $\langle 2187, 286 \rangle$, which is forbidden as M due to the lack of a proper σ -automorphism, to $M = M_0^5 = \langle 6561, 2030 \rangle$.
2. Or via the sibling $\langle 2187, 287 \rangle$ which is forbidden as M due to the lack of a proper σ -automorphism, to $M = M_0^6 = \langle 6561, 2035 \rangle$.

In both cases, several configurations of 3-class field tower groups S are possible. For real quadratic base fields K , three terminal immediate non-metabelian descendants $R_{0j}^i = M_0^i - \#1; j, j = 1, 2, 3$, provide the option of a Schur+1 σ -group S with child topology.

Similarly, we are interested in two siblings, $F - \#2; 5 = \langle 6561, 614 \rangle$ and $F - \#2; 6 = \langle 6561, 615 \rangle$, of $F - \#2; 1 = \langle 6561, 613 \rangle$ rather than in the skeleton type itself.

Table 2. Second ATI of R_{0j}^i for the Ground State of type H.4

lo	id	Polarization	Stabilization	
			Singular	Regular
8	2030 2035	32, (311) ³	111; (11) ⁹ , (111) ³	[21; (21) ³] ²
9	$M_0^i - \#1; 1 2$	32, (411) ³	111; (11) ⁹ , (111) ³	[21; (21) ³] ²
9	$M_0^i - \#1; 3$	32, (311) ³	111; (11) ⁹ , (111) ³	[21; (21) ³] ²

• **The U -tree:** Skeleton type is c.21, (0231), which forms the infinite mainline of the tree $\mathcal{T}^2(R)$ with fixed coclass 2, starting at the root $R = \langle 243, 8 \rangle$, passing the fork $F = Q = \langle 729, 54 \rangle$ with nuclear rank $\nu = 2$ and bifurcation, on the one hand with permanent step size $s = 1$ to $X_5^2 = \langle 2187, 303 \rangle$, $X_6^2 = \langle 6561, 2050 \rangle$, etc. [36, Figure 4, p. 152], and on the other hand with alternating step sizes $s = 2$ and $s = 1$ on an infinite path with complex type G.16, (4231), and with periodic bifurcations, causing unboundedly increasing coclass. We are interested in two siblings of $\langle 2187, 303 \rangle$ rather than in the mainline. They lead to two arithmetically indistinguishable candidates for the metabelianization $M = \text{Gal}(\mathbb{F}_3^2(K)/K)$ of the 3-class field tower group.

1. Either via the sibling $\langle 2187, 301 \rangle$, which is forbidden as M due to the lack of a proper σ -automorphism, to $M = M_0^5 = \langle 6561, 2048 \rangle$.
2. Or via the sibling $\langle 2187, 305 \rangle$ which is forbidden as M due to the lack of a proper σ -automorphism, to $M = M_0^6 = \langle 6561, 2058 \rangle$.

In both cases, several configurations of 3-class field tower groups S are possible. For real quadratic base fields K , two terminal immediate non-metabelian descendants $R_{0j}^i = M_0^i - \#1; j, j = 1, 2$, provide the option of a Schur+1 σ -group S with child topology.

Similarly, we are interested in two siblings, $F - \#2; 5 = \langle 6561, 619 \rangle$ and $F - \#2; 6 = \langle 6561, 623 \rangle$, of $F - \#2; 1 = \langle 6561, 621 \rangle$ rather than in the skeleton type itself.

Table 3. Second ATI of R_{0j}^i for the **Ground State** of type G.16

lo	id	Polarization	Stabilization
8	2048 2058	32, (311) ³	[21; (21) ³] ³
9	$M_0^i - \#1; 1$	32, (411) ³	[21; (21) ³] ³
9	$M_0^i - \#1; 2$	32, (311) ³	[21; (21) ³] ³

11. Computational techniques

11.1. The fundamental database of Bush

On 11 July 2015, M. R. Bush kindly shared an extensive database with us, in private communication. This information was the computational background for the article [35], and extended the range of our own tables in [11,37] by a factor of 100. It was created in several months of CPU time on a cluster of parallel supercomputers, and so it would have been impossible for us to reconstruct this numerical data. The associated README_real.txt file explains the contents of the database:

“The Magma file `ipad_freq_real.m` contains two lists called `disclist` and `ipadlist`. Each list contains 185 entries.

Each entry in `ipadlist` is the IPAD, for $p = 3$, of a *real quadratic field* K with 3-class group of rank 2 and discriminant $d_K < 10^9$. The IPAD of such a field K is a 5-tuple in which the first entry is the 3-class group of K and the remaining four entries are the 3-class groups of the four unramified cyclic cubic extensions of K .

The i -th entry in `disclist` is the complete list of discriminants d_K of real quadratic fields with 3-class group of rank 2, $d_K < 10^9$ and whose IPAD appears as the i -th entry in `ipadlist`.

All class groups have been computed using Magma v2.19-5.

Note. Taken together, the discriminants appearing in `disclist` form a complete list of discriminants for real quadratic fields K with 3-class group of rank 2 and $d_K < 10^9$. There are 481 756 such fields.

Using a Magma program script `SiftRealIPADs.m`, we retrieved the following statistics of IPADs in Table 4, for the upper bound $d_K < 10^9$, restricted to the *elementary bicyclic* first IPAD component [3,3]: “Rel” denotes the relative counter of the 35 sifted IPADs, as opposed to the absolute counter “Abs” among all 185 IPADs. “NumDisc” is the number of discriminants with given IPAD. “MinDisc” is the minimal discriminant with assigned IPAD. Since the first component [3,3] is fixed, only the last four components of the IPAD are given. **Boldface** IPADs are crucial. Number of all IPADs: 185, Number of sifted IPADs: 35, Total number of discriminants: 415 698.

Table 4. List of IPADs with first component [3,3] for $d_K < 10^9$

Rel	Abs	NumDisc	MinDisc	IPAD (last four components)	State
1	1	208236	32 009	([3, 3], [3, 3], [3, 3], [3, 9])	
2	2	122955	142 097	([3, 3], [3, 3], [3, 3], [3, 3, 3])	
3	4	26678	62 501	([3, 3], [3, 3], [3, 3], [9, 9])	
4	5	13712	422 573	([3, 3, 3], [3, 9], [3, 9], [3, 9])	
5	6	11780	494 236	([3, 3], [3, 3], [3, 3], [9, 27])	
6	9	6691	631 769	([3, 3, 3], [3, 3, 3], [3, 9], [3, 9])	
7	10	6583	957 013	([3, 3, 3], [3, 3, 3], [3, 3, 3], [3, 9])	
8	11	4377	540 365	([3, 9], [3, 9], [3, 9], [9, 9])	
9	12	4318	534 824	([3, 3, 3], [3, 9], [3, 9], [9, 9])	
10	16	1958	342 664	([3, 9], [3, 9], [3, 9], [9, 27])	GS
11	17	1880	1 162 949	([3, 3, 3], [3, 9], [3, 9], [9, 27])	GS
12	18	1636	214 712	([3, 9], [3, 9], [3, 9], [3, 9])	
13	19	1410	710 652	([3, 3, 3], [3, 3, 3], [9, 9], [9, 9])	
14	21	1251	1 535 117	([3, 3, 3], [3, 3, 3], [9, 9], [9, 27])	
15	25	921	2 905 160	([3, 3], [3, 3], [3, 3], [27, 27])	
16	32	391	10 200 108	([3, 3], [3, 3], [3, 3], [27, 81])	
17	38	234	8 321 505	([3, 3, 3], [3, 3, 3], [9, 27], [9, 27])	
18	43	146	1 001 957	([3, 9], [3, 9], [3, 9], [27, 27])	
19	45	138	13 714 789	([3, 3, 3], [3, 9], [3, 9], [27, 27])	
20	48	101	17 802 872	([3, 3, 3], [3, 3, 3], [9, 9], [27, 27])	
21	55	81	26 889 637	([3, 9], [3, 9], [3, 9], [27, 81])	ES1
22	59	66	70 539 596	([3, 3, 3], [3, 9], [3, 9], [27, 81])	ES1
23	66	40	8 491 713	([3, 3, 3], [3, 3, 3], [9, 27], [27, 27])	
24	70	31	27 970 737	([3, 3, 3], [3, 3, 3], [9, 9], [27, 81])	
25	74	25	40 980 808	([3, 3], [3, 3], [3, 3], [81, 81])	
26	76	23	8 127 208	([3, 3, 3], [3, 3, 3], [9, 27], [27, 81])	
27	86	12	37 304 664	([3, 3], [3, 3], [3, 3], [81, 243])	
28	114	5	174 458 681	([3, 3, 3], [3, 9], [3, 9], [81, 81])	
29	115	5	116 043 324	([3, 9], [3, 9], [3, 9], [81, 81])	
30	116	4	131 279 821	([3, 3, 3], [3, 3, 3], [9, 9], [81, 243])	
31	129	3	343 438 961	([3, 3, 3], [3, 3, 3], [9, 9], [81, 81])	
32	130	3	124 813 084	([3, 9], [3, 9], [3, 9], [81, 243])	ES2
33	151	2	180 527 768	([3, 3, 3], [3, 3, 3], [27, 27], [27, 27])	
34	165	1	336 698 284	([3, 3, 3], [3, 9], [3, 9], [81, 243])	ES2
35	170	1	705 576 037	([3, 3, 3], [3, 9], [3, 9], [243, 729])	ES3

In order to identify the relevant IPADs of vertices on the Q-tree and U-tree, corresponding to the six transfer kernel types under investigation (Formula (1)), we define: Let ϵ be the number of elementary tricyclic components [3,3,3] of an IPAD. By the “main theorem on class and coclass from IPAD” and its corollary in [20, § 4, Thm. 2 and Cor. 1], it is well known that

- an IPAD with (at least) three elementary bicyclic components [3,3] is due to a group of maximal class, $cc = 1$ (Abs = 1,2,4,6,25,32,74,86),
- an IPAD with $\epsilon = 3$ is due to the sporadic group $\langle 243, 4 \rangle$ and its descendants (Abs = 10),
- an IPAD with $\epsilon = 2$ is either due to the sporadic Schur σ -group $\langle 243, 7 \rangle$ (Abs = 9) or to the group $\langle 243, 3 \rangle$ and its descendants, in particular to all groups with $cc \geq 3$ (Abs = 19,21,38,48,66,70,76,116,129,151),
- among the remaining IPADs with $\epsilon = 1$, Abs = 5 is due to the sporadic Schur σ -group $\langle 243, 5 \rangle$, the polarization of Abs = 12,45,114 is **homocyclic**, and only Abs = 17,59,165,170 belong to vertices with **heterocyclic** polarization on the Q-tree,
- among the remaining IPADs with $\epsilon = 0$, Abs = 18 is due to the sporadic group $\langle 243, 9 \rangle$ and its descendants, the polarization of Abs = 11,43,115 is **homocyclic**, and only Abs = 16,55,130 belong to vertices with **heterocyclic** polarization on the U-tree.

11.2. The principalization type

By means of `GetDiscsForFixedIPAD.m`, a Magma program script, we retrieved the discriminants in ascending order for the IPADs

$$\text{Abs} = 16, 17, 55, 59, 130, 165, 170.$$

The TKT was computed with `RQGroundDisc.m`, the `ATI2` and `Length` with `SecondATIExtended.m`.

In Table 5, the initial 15 discriminants with IPAD $\text{Abs} = 16$ ($[3, 9], [3, 9], [3, 9], [9, 27]$) are classified according to their transfer kernel type TKT E.8 or E.9 or G.16, in the ground state GS, $n = 0$. The corresponding metabelianizations M are vertices on the U-tree.

Table 5. List of discriminants associated with IPAD $\text{Abs} = 16$

No	Disc	Factors	TKT	Length
1	342 664	$2^3 \cdot 7 \cdot 29 \cdot 211$	E.9	3
2	1 452 185	$5 \cdot 7 \cdot 41491$	E.9	3
3	1 787 945	$5 \cdot 353 \cdot 1013$	E.9	3
4	4 760 877	$3 \cdot 11 \cdot 89 \cdot 1621$	E.9	2
5	4 861 720	$2^3 \cdot 5 \cdot 19 \cdot 6397$	E.9	3
6	5 976 988	$2^2 \cdot 1494247$	E.9	3
7	6 098 360	$2^3 \cdot 5 \cdot 152459$	E.8	3
8	6 652 929	$3 \cdot 2217643$	E.9	2
9	7 100 889	$3 \cdot 19 \cdot 124577$	E.8	3
10	7 358 937	$3 \cdot 71 \cdot 34549$	E.9	2
11	8 079 101	prime	E.9	3
12	8 632 716	$2^2 \cdot 3 \cdot 719393$	E.8	2
13	8 711 453	$947 \cdot 9199$	G.16	3
14	9 129 480	$2^3 \cdot 3 \cdot 5 \cdot 76079$	E.9	2
15	9 448 265	$5 \cdot 1889653$	G.16	2 or 3

In Table 6, the initial 10 discriminants with IPAD $\text{Abs} = 17$ ($[3, 3, 3], [3, 9], [3, 9], [9, 27]$) are classified according to their transfer kernel type TKT E.6 or E.14 or H.4, in the ground state GS, $n = 0$. The corresponding metabelianizations M are vertices on the Q-tree.

Table 6. List of discriminants associated with IPAD $\text{Abs} = 17$

No	Disc	Factors	TKT	Length
1	1 162 949	$23 \cdot 59 \cdot 857$	H.4	2 or 3
2	2 747 001	$3 \cdot 19 \cdot 48193$	H.4	3
3	3 122 232	$2^3 \cdot 3 \cdot 19 \cdot 41 \cdot 167$	H.4	2 or 3
4	3 918 837	$3 \cdot 13 \cdot 100483$	E.14	2
5	4 074 493	$19 \cdot 131 \cdot 1637$	H.4	2 or 3
6	5 264 069	$139 \cdot 37871$	E.6	3
7	6 946 573	$29 \cdot 31 \cdot 7727$	E.6	3
8	7 153 097	$7 \cdot 613 \cdot 1667$	E.6	2
9	8 897 192	$2^3 \cdot 163 \cdot 6823$	E.14	2
10	9 433 849	$2549 \cdot 3701$	E.14	3

In Table 7, the initial 18 discriminants with IPAD $\text{Abs} = 55$ ($[3, 9], [3, 9], [3, 9], [27, 81]$) are classified according to their transfer kernel type TKT E.8 or E.9 or G.16, in the first excited state ES 1, $n = 1$. The corresponding metabelianizations M are vertices on the U-tree.

Table 7. List of discriminants associated with IPAD Abs = 55

No	Disc	Factors	TKT	Length
1	26 889 637	prime	E.8	2
2	59 479 964	$2^2 \cdot 347 \cdot 42853$	G.16	2 or 3
3	79 043 324	$2^2 \cdot 19760831$	E.9	2
4	98 755 469	$29 \cdot 139 \cdot 24499$	E.8	2
5	111 121 161	$3 \cdot 1163 \cdot 31849$	E.9	2
6	135 445 241	prime	E.9	2
7	147 910 989	$3 \cdot 79 \cdot 624097$	E.8	2
8	155 191 657	$17 \cdot 83 \cdot 109987$	E.9	2
9	157 423 029	$3 \cdot 83 \cdot 632221$	G.16	2 or 3
10	178 243 036	$2^2 \cdot 113 \cdot 139 \cdot 2837$	E.9	3
11	188 823 317	$8293 \cdot 22769$	E.8	2
12	209 483 033	prime	G.16	≥ 3
13	227 396 348	$2^2 \cdot 56849087$	G.16	2 or 3
14	230 668 493	$11 \cdot 19 \cdot 619 \cdot 1783$	E.9	
15	248 917 036	$2^2 \cdot 887 \cdot 70157$	E.9	
16	249 304 648	$2^3 \cdot 29 \cdot 613 \cdot 1753$	E.9	
17	264 062 393	$7 \cdot 37723199$	E.9	
18	292 399 937	$37 \cdot 7902701$	E.8	3

In Table 8, the initial 7 discriminants with IPAD Abs = 59 ($[3, 3, 3], [3, 9], [3, 9], [27, 81]$) are classified according to their transfer kernel type TKT E.6 or E.14 or H.4, in the first excited state ES 1, $n = 1$. The corresponding metabelianizations M are vertices on the Q-tree.

Table 8. List of discriminants associated with IPAD Abs = 59

No	Disc	Factors	TKT	Length
1	70 539 596	$2^2 \cdot 17 \cdot 1037347$	E.14	3
2	75 393 861	$3 \cdot 17 \cdot 941 \cdot 1571$	E.6	3
3	111 046 577	$181 \cdot 199 \cdot 3083$	E.6	2
4	113 284 396	$2^2 \cdot 17 \cdot 347 \cdot 4801$	E.14	2
5	126 691 957	$7 \cdot 103 \cdot 199 \cdot 883$	H.4	3
6	136 970 636	$2^2 \cdot 11 \cdot 103 \cdot 30223$	E.14	2
7	170 356 565	$5 \cdot 19 \cdot 1793227$	H.4	2 or 3

12. Real quadratic prototypes

For each assigned transfer kernel type $\varkappa(k) \in \{(1122), (2122), (3122), (1231), (2231), (4231)\}$ and each foregiven excited state of (logarithmic) abelian type invariants (ATI), or transfer target type (TTT), $\alpha(k) \in \{[(n + 3, n + 2), 111, 21, 21]; [(n + 3, n + 2), 21, 21, 21]\}$ with non-negative integers $n \geq 0$, the **prototype** is the minimal positive quadratic fundamental discriminant d such that the real quadratic field $k = \mathbb{Q}(\sqrt{d})$ has TKT $\varkappa(k)$ and TTT $\alpha(k)$.

The **Ground State** is characterized by the *polarization* (32) in the ATI of the first order

$$\alpha(k) = [32, 111, 21, 21], \text{ respectively } [32, 21, 21, 21], \text{ see Table 9 under the GRH,} \tag{37}$$

whereas the *stabilization* (111, 21, 21), respectively (21, 21, 21), remains the same for all states.

The **First Excited State** is characterized by the *polarization* (43) in the ATI of the first order

$$\alpha(k) = [43, 111, 21, 21] \text{ respectively } [43, 21, 21, 21], \text{ see Table 10 under the GRH.} \tag{38}$$

Table 9. Prototypes of the **Ground State** computed using [6,13,14,38]

No.	d	TKT	$\ell_3(k)$	Reference
6	5 264 069	E.6	3	Tbl. 6
8	7 153 097	E.6	2	Tbl. 6
4	3 918 837	E.14	2	Tbl. 6
10	9 433 849	E.14	3	Tbl. 6
1	1 162 949	H.4	2 or 3	Tbl. 6
2	2 747 001	H.4	3	Tbl. 6
25	23 064 965	H.4	≥ 3	Extension
30	30 118 269	H.4	Schur ≥ 3	Extension
7	6 098 360	E.8	3	Tbl. 5
12	8 632 716	E.8	2	Tbl. 5
1	342 664	E.9	3	Tbl. 5
4	4 760 877	E.9	2	Tbl. 5
13	8 711 453	G.16	3	Tbl. 5
15	9 448 265	G.16	2 or 3	Tbl. 5

Table 10. Prototypes of the **First Excited State** computed by B. Allombert

No.	d	TKT	$\ell_3(k)$	Reference
2	75 393 861	E.6	3	Tbl. 8
3	111 046 577	E.6	2	Tbl. 8
1	70 539 596	E.14	3	Tbl. 8
4	113 284 396	E.14	2	Tbl. 8
5	126 691 957	H.4	3	Tbl. 8
7	170 356 565	H.4	2 or 3	Tbl. 8
14	216 353 320	H.4	≥ 3	Extension
1	26 889 637	E.8	2	Tbl. 7
18	292 399 937	E.8	3	Tbl. 7
3	79 043 324	E.9	2	Tbl. 7
10	178 243 036	E.9	3	Tbl. 7
2	59 479 964	G.16	2 or 3	Tbl. 7
12	209 483 033	G.16	≥ 3	Tbl. 7

The root region up to the logarithmic order $lo = 20$ of two infinite descendant trees [16,17,26] is drawn in Figure 1 for the pruned tree ${}^*\mathcal{T}(R)$, which is restricted to the *skeleton* type and *simple* types, and in Figure 2 for the pruned tree ${}^*\mathcal{T}(R)$, which is restricted to the *skeleton* type and the *complex* type. For the types E.6, E.14 and H.4, the root is $R = \langle 243, 6 \rangle$ with type c.18, and for the types E.8, E.9 and G.16, the root is $R = \langle 243, 8 \rangle$ with type c.21.

13. Conclusion

The investigation of non-metabelian 3-class field towers with length $\ell_3(k) = 3$ started in 2012 in cooperation with M. R. Bush [10, Thm. 4.1 and Cor. 4.1.1, pp. 774–775], where the erroneous claim $\ell_3(k) = 2$ for the **imaginary** quadratic field $k = \mathbb{Q}(\sqrt{-9748})$ with TKT E.9 in the GS $n = 0$ in [18, p. 41] was disproved by the rigorous verification that either $S = S_0^3$ or $S = S_0^4$, rather than $S = M$, presented at the West Coast Number Theory Conference (WCNT) in Asilomar, December 2013.

In 2015, [31, pp. 184–193] we extended the proof for $\ell_3(k) = 3$ of 2012 to all four simple TKTs, E.6, E.8, E.9, E.14, of imaginary quadratic fields, up to ES $n \leq 7$, i.e. $cl \leq 19$, $cc \leq 10$, $lo \leq 29$, introducing the cover $cov(M)$, presented at the 29th Journées Arithmétiques (JA) in Debrecen, July 2015. We also drew a more

detailed version of the present Figure 1 (bounded by $lo = 20$) in the Figures 8–9 on pp. 188–189 (bounded by $lo = 14$), and we studied the normal lattice of the Schur σ -groups S_0^i and S_1^i in the Figures 10–11 on pp. 191–192.

Arithmetical prototypes up to ES $n \leq 4$ for all imaginary quadratic fields $k = \mathbb{Q}(\sqrt{d})$, $-10^8 < d < 0$, were computed for the Figures 3–4 on pp. 754–755 in [32], presented at the 1st International Conference on Groups and Algebras (ICGA) in Shanghai, July 2015. See also the Figures 1–2 on pp. 24–25 in [6].

It should be pointed out that the present Theorem 2 on the length $\ell_3(k)$ of **real** quadratic fields with **simple** TKT was proved on 5 Oct 2025 for all excited states (ES n) with $n \geq 1$, whereas it was known for the ground state (GS) with $n = 0$ already in [39, Thm. 6.3, pp. 298–299] for the U -tree alone, in [36, Thm. 7.5–7.12, pp. 159–166] for both, the Q -tree and the U -tree, and the trees with minimal positive discriminants $d > 0$ of prototypes were drawn in [36, Figure 3–4, pp. 151–152].

Due to the highly confusing branches of **complex** TKTs, Theorem 4 was proved on 15 Oct 2025 for bounded state parameter $0 \leq n \leq 4$ only. With second order ATIs, only implications can be proven rather than equivalences. **TODO:** For an extension to $n \geq 5$, modified infinite limit groups would be required, maybe 3-adic Lie groups like $SL_2(\mathbb{Z}_3)$.

It was possible to prove necessary and sufficient criteria for all excited states (ES) parametrized with state parameter $n \geq 0$. Figure 1 ostensibly reveals that, in the case of non-coincidence, $S \neq M$, the connecting path between M and S consists of an ascending part with exclusive step size $s = 1$ from the metabelianization $M = S/S''$ to the **fork** $F = R(-\#1; 1)$, and a descending part with alternating step sizes $s = 2$ and $s = 1$, the so-called **structure elements** (SE), from the fork F to the Schur σ -group S . The ground state (GS) gives rise to logarithmic order $lo(S) = 8$ without SE, and the n -th excited state (ES n) requires exactly n SE and leads to logarithmic order $lo(S) = 8 + 3n$.

Examples for the distinction between the two possible tower lengths $\ell_3(k) \in \{2, 3\}$ for real quadratic base fields k are given for the ground state (GS) in [36, Thm. 7.8, pp. 162–163, and Thm. 7.12, pp. 165–166]. For the first excited state (ES 1), we have for instance three stages for $d = 70\,539\,596$ of type E.14, and for $d = 75\,393\,861$ of type E.6, but only two stages for $d = 26\,889\,637$ of type E.8. For the second excited state (ES 2), we have only two stages for $d = 336\,698\,284$ of type E.14 (ES 2 is not contained in our tables).

The tree structure in Figure 2 is more complicated, due to *infinite branches*. In contrast to the *simple types* in section E of Scholz and Taussky, where a real quadratic field $k = \mathbb{Q}(\sqrt{d})$ with tower length $\ell_3(k) = 3$ has a Schur or Schur+1 σ -group $S = \text{Gal}(F_3^\infty(k)/k)$ as the Galois group of its maximal unramified pro-3-extension and the path between S and $M = S/S''$ is a *fork topology* as described above, the *complex types* in the sections G and H of Scholz and Taussky admit a variety of different scenarios, most frequently a *child topology* with an immediate (Schur+1)-descendant $S = M - \#1$ of M and thus necessarily precise length $\ell_3(k) = 3$, for instance $d = 2\,747\,001$ and $d = 126\,691\,957$ of type H.4, and $d = 8\,711\,453$ of type G.16, or less frequently a *fork topology* with either a (Schur+1) σ -group S , such as $d = 23\,064\,965$ and $d = 216\,353\,320$ of type H.4, and $d = 209\,483\,033$ of type G.16, or quite sparsely with a Schur σ -group S , e.g. $d = 30\,118\,269$ of type H.4. All the latter are located on infinite branches with presumably unbounded $\ell_3(k) \geq 3$.

In [40], a *sporadic variant* of the TKT H.4, $\varkappa = (4111) \sim (2122)$, with *stable* ATI $\alpha = [(1^3)^3, 21]$ is analyzed with the aid of the *special linear group* of dimension 2 over the 3-adic integers, $SL_2(\mathbb{Z}_3)$. It is the 3-principalization type of all members of the infinite descendant tree of the root $\langle 243, 4 \rangle$, whose unique immediate σ -descendant is Ascione’s group $N = \langle 729, 45 \rangle$ [5]. The pruned subtree $\mathcal{T}_\sigma(N)$ of all σ -descendants of N contains an infinite sequence $(G_m)_{m \geq 2}$ of non-metabelian Schur σ -groups possessing an unbounded soluble length $sl(G_m) = \lfloor \log_2(3m + 3) \rfloor$ [40, Thm. 2.1, p. 160].

The location of these groups in the tree is given by the parametrized relative identifier

$$N(-\#2; 1 - \#1; 1)^{m-2} - \#2; 2, \quad m \geq 2. \tag{39}$$

TODO: It seems plausible that other 3-adic Lie groups can be used to investigate the Schur σ -groups $S_{n,t}^i$ with the *periodic variant* of the TKT H.4, $\varkappa = (2122)$, and with *variable* ATI depending on the order $n \geq 0$ of the excited state (ES n): $\alpha = [(n + 3, n + 2), 1^3, (21)^2]$ with **heterocyclic** polarization $(n + 3, n + 2)$.

In Table 11, we compare the logarithmic order lo , nilpotency class cl , coclass cc , soluble length sl , and the 3-valuation $a := v_3(A_m)$ of the order of the automorphism group $A_m := \text{ord}(G_m)$ with the corresponding

values of the ground state (GS) and the first three excited states (ES) of the Schur σ -groups $S_{n,t}^i$. **TODO:** Deterministic laws for their soluble length seem plausible.

Table 11. Soluble length for **sporadic** and **periodic** type H.4

					Periodic									
					Sporadic		GS		ES1		ES2		ES3	
lo	cl	cc	sl	a	sl	a	sl	a	sl	a	sl	a	sl	a
8	5	3	3	9										
11	7	4	3	12	3	13								
14	9	5	3	15	3	16	3	17						
17	11	6	4	18	3	19	3	20	3	21				
20	13	7	4	21	3	22	3	23	3	24	3	25		
23	15	8	4	24	4	25	3	26	3	27	3	28		
26	17	9	4	27	4	28	3	29	3	30	3	31		
29	19	10	4	30	4	31	4	32	3	33	3	34		
32	21	11	5	33	4	34	4	35	3	36	3	37		
35	23	12	5	36	4	37	4	38	4	39	3	40		
38	25	13	5	39	4	40	4	41	4	42	3	43		
41	27	14	5	42	4	43	4	44	4	45	4	46		
44	29	15	5	45	5	46	4	47	4	48	4	49		
47	31	16	5	48	5	49	4	50	4	51	4	52		
50	33	17	5	51	5	52	4	53	4	54	4	55		
53	35	18	5	54	5	55	4	56	4	57	4	58		
56	37	19	5	57	5	58	5	59	4	60	4	61		
59	39	20	5	60	5	61	5	62	4	63	4	64		
62	41	21	5	63	5	64	5	65	4	66	4	67		
65	43	22	6	66	5	67	5	68	4	69	4	70		

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