

Article

# Cubic curves and conics associated to the sphere $S^4$

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**Abstract:** This note introduces a 1-parameter of cubic curves naturally associated to the sphere  $S^4$  considered in the unique 5-dimensional irreducible representation space of  $SO(3)$ . Eight examples are discussed with the last two being elliptic curves. Also, two conics are defined naturally in our setting by a special basis of the Lie algebra  $sl(3, \mathbb{R})$ .

**Keywords:** the Euclidean spheres  $S^4$  and  $S^2$ , cubic curve, cubic discriminant, conics

**MSC:** 14H52, 53A04.

## 1. Introduction

**T**he paper [1] of Nigel Hitchin starts with a very interesting approach of the unit sphere  $S^4$ . More precisely, this sphere is not considered directly in the Euclidean space  $\mathbb{E}^5 := (\mathbb{R}^5, g_{can})$  but is the unit sphere in a five-dimensional linear space of real  $3 \times 3$  matrices. Concretely, the space is the intersection  $Sym_0(3) := Sym(3) \cap sl(3, \mathbb{R})$  where  $Sym(3)$  is the six-dimensional space of symmetric matrices while  $sl(3, \mathbb{R})$  is the usual Lie algebra of the special Lie group  $SL(3, \mathbb{R})$  of dimension 8. The space  $Sym_0(3)$  arises naturally in the Cartan decomposition:

$$sl(3, \mathbb{R}) = Sym_0(3) \oplus so(3); \quad \dim : 8 = 5 + 3.$$

Considering a diagonal matrix as being defined by  $diag(\lambda_1, \lambda_2, \lambda_3)$  we use the traceless property of elements of  $sl(3, \mathbb{R})$  in order to define the cubic curve  $\mathcal{C} : y^2 = (x - \lambda_1)(x - \lambda_2)(x - \lambda_3)$ . Hence, the present work focuses on the study of the 1-parameter family of cubics  $\mathcal{C} = \mathcal{C}(u)$ ,  $u \in [0, 2\pi)$  provided by  $S^4$  as unit sphere in  $Sym(3) \cap sl(3, \mathbb{R})$  endowed with the inner product  $Trace(B_1 B_2)$ . So, we compute the Weierstrass coefficients  $p, q$  and the discriminant  $\Delta$  of  $\mathcal{C}(u)$ . Also, a main subject is that of examples and hence an elliptic curve is discussed after two singular cubics. We finish the first part with a non-existence result of Euclidean cubic polynomials for our setting.

In the second part of this paper we consider conics naturally provided by the symmetric matrices from a specific basis of  $sl(3, \mathbb{R})$ . We point out that this research continues that of [2] where cubics and conics are geodesically associated to the points of a geometric surface.

## 2. Cubic curves from the matrix approach of $S^4$

Nigel Hitchin introduces in [1] a 1-parameter family of Einstein metrics on the standard sphere  $S^4$ . The parameter is an integer  $k \geq 3$  and each metric is self-dual,  $SO(3)$ -invariant, of positive scalar curvature, and noncompact but admits a compactification as a metric with conical singularities. In fact, for  $k = 3$ , there is no singularity at all, and the metric is the standard one on the 4-sphere.

The underlying sphere  $S^4$  is considered as the unit sphere in the 5-dimensional linear space  $Sym_0(3) := Sym(3) \cap sl(3, \mathbb{R})$  of symmetric and traceless  $3 \times 3$  real matrices  $B$ , with  $SO(3)$  acting by conjugation. The invariant inner product of  $Sym_0(3)$  is defined as  $Trace(B_1 B_2)$  and hence  $S^4$  corresponds to the matrices  $B \in Sym_0(3)$  with eigenvalues  $\lambda_1, \lambda_2, \lambda_3 \in \mathbb{R}$  satisfying:

$$\begin{cases} \lambda_1 + \lambda_2 + \lambda_3 = 0, \\ \lambda_1^2 + \lambda_2^2 + \lambda_3^2 = 1. \end{cases} \quad (1)$$

The intersection of the plane  $\Pi : \lambda_1 + \lambda_2 + \lambda_3 = 0$  with the sphere  $S^2$  provided by the second equation (1) yields the ellipse:

$$E : (\lambda_2 - \lambda_1)^2 + 3(\lambda_1 + \lambda_2)^2 = 2, \quad (2)$$

having the eccentricity:

$$\epsilon = \sqrt{\frac{2}{3}} \simeq 0.81649. \quad (3)$$

The inverse  $\frac{1}{\epsilon} = \sqrt{\frac{3}{2}} \simeq 1.2247$  is the eccentricity of the self-complementary hyperbolae, conform [3], while the intersection of the plane  $\Pi$  with the general rotational ellipsoid  $\mathcal{E} : \frac{\lambda_1^2}{a^2} + \frac{\lambda_2^2}{b^2} + \frac{\lambda_3^2}{c^2} = 1$ , for  $a, b, c > 0$ , is the ellipse:

$$E(a, b, c) : \left( \frac{1}{a^2} + \frac{1}{c^2} \right) \lambda_1^2 + \frac{2}{c^2} \lambda_1 \lambda_2 + \left( \frac{1}{b^2} + \frac{1}{c^2} \right) \lambda_2^2 - 1 = 0,$$

with the eccentricity:

$$\epsilon^2 = \epsilon^2(a, b, c) = \frac{2\sqrt{(a^2 - b^2)^2 c^4 + 4a^4 b^4}}{2a^2 b^2 + c^2(a^2 + b^2) + \sqrt{(a^2 - b^2)^2 c^4 + 4a^4 b^4}}.$$

It results that the eigenvalues  $\lambda$  defines a curve on the sphere  $S^2$ :

$$C : \bar{r}(\varphi) = (\lambda_1, \lambda_2, \lambda_3)(\varphi) := \left( \frac{1}{2}(\epsilon \sin \varphi - \sqrt{2} \cos \varphi), \frac{1}{2}(\epsilon \sin \varphi + \sqrt{2} \cos \varphi), -\epsilon \sin \varphi \right), \quad \varphi \in [0, 2\pi). \quad (4)$$

We point out that in [1, p. 192] the eigenvalues are expressed with rational functions of

$$t = \left| \tan \left( \frac{3\pi}{4} + \frac{\varphi}{2} \right) \right| = \left| \frac{\tan \frac{\varphi}{2} - 1}{\tan \frac{\varphi}{2} + 1} \right|,$$

but we prefer a trigonometrical approach.

Due to the first identity (1) we introduce now a parametrized cubic curve naturally associated to this setting:

$$\mathcal{C}(\varphi) : y^2 = P_\varphi(x) := (x - \lambda_1(\varphi))(x - \lambda_2(\varphi))(x - \lambda_3(\varphi)), \quad \varphi \in [0, 2\pi), \quad (5)$$

and then we have immediately the main theoretical result of this note:

**Proposition 1.** *The Weierstrass coefficients  $p, q$  of the cubic curve  $\mathcal{C}(\varphi) : y^2 = x^3 + px + q$  are:*

$$p = \text{constant} = -\frac{1}{2}, \quad q = q(\varphi) = -\frac{\sqrt{6}}{18} \sin(3\varphi) \in \left[ q_{\min} = -\frac{1}{3\sqrt{6}}, q_{\max} = \frac{1}{3\sqrt{6}} \right]. \quad (6)$$

The discriminant  $\Delta := 4p^3 + 27q^2$  of  $\mathcal{C}(\varphi)$  is:

$$\Delta(\varphi) = -\frac{1}{2} \cos^2(3\varphi) \in \left[ -\frac{1}{2}, 0 \right]. \quad (7)$$

The discriminant map  $\Delta$  has an unique fixed point ( $\Delta(\varphi) = \varphi$ ), namely  $\varphi_0 \simeq -0.257079$ .

**Proof.** We have directly:

$$\Delta = 27 \cdot \frac{6}{18^2} \sin^2(3\varphi) - \frac{1}{2} = \frac{1}{2}(\sin^2(3\varphi) - 1). \quad (8)$$

The value of the fixed point is obtained by using WolframAlpha as solution  $\cos^2(3\varphi) = -2\varphi$ . It is easy to prove the uniqueness of this fixed point: let us consider the function  $f : \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) := 2x - \cos^2(3x)$ . This function is smooth and it has the derivative  $f'(x) = 2 - 3\sin(6x)$ . For  $x \in \left[ -\frac{1}{2}, 0 \right]$  it results  $6x \in [-3, 0] \subset (-\pi, 0]$  and hence  $\sin(6x) < 0$  which means that the restriction of  $f$  to the interval  $\left[ -\frac{1}{2}, 0 \right]$  is strictly increasing.  $\square$

**Remark 1.** i) For the sake of completeness we provide also the expressions in  $t$ :

$$q(t) = \frac{(1-t^2)(1-14t^2+t^4)}{3\sqrt{6}(1+t^2)^3}, \quad \Delta(t) = -\frac{2t^2(t^2-3)^2(3t^2-1)^2}{(1+t^2)^6}, \quad t \in [0, +\infty]. \quad (9)$$

ii) We have the periodicity:  $\Delta(\varphi + \pi) = \Delta(\varphi)$  which means that the function  $\Delta(\cdot)$  corresponds to a function on the projective space  $\mathbb{R}P^1$ . Indeed, with  $(\cos \varphi = \frac{u}{\sqrt{u^2+v^2}}, \sin \varphi = \frac{v}{\sqrt{u^2+v^2}})$  we have the function:

$$\Delta : \mathbb{R}P^1 \rightarrow \mathbb{R}, \quad [u, v] \rightarrow \Delta([u, v]) = -\frac{u^2(u^2-3v^2)^2}{2(u^2+v^2)^3}. \quad (10)$$

iii) Due to the expression of the coefficient  $q$  we note the trigonometrical identity:

$$\sin(3\varphi) = 4 \sin \varphi \sin\left(\varphi + \frac{\pi}{3}\right) \sin\left(\varphi + \frac{2\pi}{3}\right), \quad (11)$$

which was used in [4] in order to prove the famous Morley's theorem (1899).

iv) A very useful remark of the anonymous referee is that the 1-parameter cubic curve  $\mathcal{C}(\varphi)$  is based only on the spectral data  $(\lambda_1, \lambda_2, \lambda_3)$ . Hence, it remains a (very interesting) open problem if the dependence of  $3\varphi$  captures some geometrical or representation-theoretic information(s) about the Hitchin's matrix-model viewpoint of the initial round sphere  $S^4$ .

Our main interest is in studying remarkable examples. Firstly, we remark that there are six singular cases provided by the vanishing of  $\Delta$  from (7); in fact, for the singular angles  $3\varphi \in \left\{ \frac{(2k+1)\pi}{2}; k = 0, \dots, 5 \right\}$  we derive only *two* singular curves while a periodicity of 3 in the values of the parameter  $k$  yields antipodal points on  $S^2$ .

**Example 1.** For  $k = 1$  we have  $\varphi = \frac{\pi}{2}$  (or  $t = 0$ ), the cubic curve  $\mathcal{C}\left(\frac{\pi}{2}\right) = \mathcal{C}_1 : y^2 = x^3 - \frac{x}{2} + \frac{1}{3\sqrt{6}}$  is singular and corresponds to the point  $\bar{r}\left(\frac{\pi}{2}\right) = \epsilon\left(\frac{1}{2}, \frac{1}{2}, -1\right) \in S^2$ .

**Example 2.** Similarly, for  $k = 4$  we have  $\varphi = \frac{3\pi}{2}$  (or  $t = +\infty$ ), the cubic curve  $\mathcal{C}\left(\frac{3\pi}{2}\right) = \mathcal{C}_2 : y^2 = x^3 - \frac{x}{2} - \frac{1}{3\sqrt{6}}$  is singular and corresponds to the point  $\bar{r}\left(\frac{3\pi}{2}\right) = -\bar{r}\left(\frac{\pi}{2}\right)$ .

**Example 3.** For  $k = 0$  we have  $\varphi = \frac{\pi}{6}$  (or  $t = \frac{1}{\sqrt{3}}$ ) and the cubic curve  $\mathcal{C}\left(\frac{\pi}{6}\right) = \mathcal{C}_2$  corresponds to the point  $\bar{r}\left(\frac{\pi}{6}\right) = \frac{1}{2}\left(\frac{\epsilon-\sqrt{6}}{2}, \frac{\epsilon+\sqrt{6}}{2}, -\epsilon\right) \in S^2$ .

**Example 4.** Similarly, for  $k = 3$  we have  $\varphi = \frac{7\pi}{6}$  (or  $t = \sqrt{3}$ ) and the cubic curve  $\mathcal{C}\left(\frac{7\pi}{6}\right) = \mathcal{C}_1$  corresponds to the point  $\bar{r}\left(\frac{7\pi}{6}\right) = -\bar{r}\left(\frac{\pi}{6}\right)$ .

**Example 5.** For  $k = 2$  we have  $\varphi = \frac{5\pi}{6}$  and the cubic curve  $\mathcal{C}_1$  corresponds to the point  $\bar{r}\left(\frac{5\pi}{6}\right) = \epsilon\left(1, -\frac{1}{2}, -\frac{1}{2}\right) \in S^2$ .

**Example 6.** For  $k = 5$  we have  $\varphi = \frac{11\pi}{6}$  and the cubic curve  $\mathcal{C}_2$  corresponds to the point  $\bar{r}\left(\frac{11\pi}{6}\right) = -\bar{r}\left(\frac{5\pi}{6}\right)$ .

**Example 7.** For  $\varphi = 0$  (or  $t = 1$ ) we have the elliptic curve  $\mathcal{C}(0) : y^2 = x^3 - \frac{x}{2}$  with  $\Delta = -\frac{1}{2}$  and correspond to the equatorial point  $\bar{r}(0) = \left(-\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0\right) \in S^2$ . This elliptic curve appears in the LMFDB Database as <https://www.lmfdb.org/EllipticCurve/Q/256/c/1> with the equation:

$$\mathcal{C}(0) : Y^2 = X^3 - 8X, \quad \Delta = -2^{11} = -2048, \quad X = 2^2x, \quad Y = 2^3y, \quad (12)$$

and is a CM-elliptic curve. We remark that Susumu Okubo showed in [5] that the space of  $3 \times 3$  traceless complex matrices can be endowed with a multiplication, derived from the usual matrix multiplication, in such a way that it becomes a non-unital composition algebra.

In [6] we introduce the notion of *Euclidean polynomial* as being a monic polynomial for which the sum of squares of its roots is equal with the sum of squares of its proper coefficients; for the monic polynomial  $P_\varphi$  of (5) this means that  $p^2 + q^2 = \lambda_1^2 + \lambda_2^2 + \lambda_3^2 = 1$  which means that  $q^2 = \frac{3}{4}$ . We obtain a non-existence result as follows:

**Proposition 2.** *There are no Euclidean polynomials  $P_\varphi$  of (5)-type.*

**Proof.** From  $q^2 = \frac{3}{4}$  it results  $q_\pm = \pm \frac{\sqrt{3}}{2}$  but  $q_+ > q_{max}$ . The value  $q_-$  is greater than  $q_{min}$  but the cubic equation provided by the expression of  $q$  from (6):

$$X \left( \frac{4}{3}X^2 - 1 \right) = \frac{2}{e}q_- = -\frac{3}{\sqrt{2}}, \quad (13)$$

has only one real solution  $X \simeq -1.3796 < -1$  and hence it can not be a  $\sin \varphi$ .  $\square$

### 3. The geometry of the spherical curve $C$ and two conics from $Sym_0(3)$

In this section we firstly study the Frenet geometry of curve  $C$  considered as curve in the Euclidean space  $\mathbb{E}^3 := (\mathbb{R}^3, \langle \cdot, \cdot \rangle_{canonic})$ :

$$C : \bar{r}(\varphi) = e \left( -\cos \left( \varphi + \frac{\pi}{6} \right), \cos \left( \varphi - \frac{\pi}{6} \right), -\sin \varphi \right) \in S^2. \quad (14)$$

Its tangent vector field is:

$$T(u) = \bar{r}'(\varphi) = e \left( \sin \left( \varphi + \frac{\pi}{6} \right), -\sin \left( \varphi - \frac{\pi}{6} \right), -\cos \varphi \right) \in S^2. \quad (15)$$

Its binormal vector field is constant since  $\bar{r}$  belongs to the plane  $\Pi : \lambda_1 + \lambda_2 + \lambda_3 = 0$ :

$$B(u) = \bar{r}'(\varphi) \times \bar{r}''(\varphi) = -\frac{e}{\sqrt{2}}(1, 1, 1) \in S^2. \quad (16)$$

Then its torsion is zero while the curvature function is constant:

$$k(\varphi) = \frac{\|\bar{r}'(\varphi) \times \bar{r}''(\varphi)\|}{\|\bar{r}'(\varphi)\|^3} = 1, \quad (17)$$

which confirm that in the given plane  $\Pi$  this curve is the unit circle centered in  $O(0, 0, 0)$ . Its normal vector field is:

$$N(\varphi) = B(\varphi) \times T(\varphi) = e \left( \cos \left( \varphi + \frac{\pi}{6} \right), -\cos \left( \varphi - \frac{\pi}{6} \right), \sin \varphi \right) = -\bar{r}(\varphi) \in S^2. \quad (18)$$

We have studied recently other classes of spherical curves in both  $S^2$  and  $S^3$  in [7], [8] and [9].

**Example 8.** We point out that an interesting problem is when the matrix  $Frenet(\varphi) = (T(\varphi), N(\varphi), B(\varphi)) \in SO(3)$  is symmetric. A straightforward computation yields the characterization  $Frenet(\varphi) \in Sym(3)$  if and only if  $\varphi = -\frac{\pi}{4}$  when:

$$Frenet \left( -\frac{\pi}{4} \right) = \frac{1}{\sqrt{3}} \begin{pmatrix} \frac{1-\sqrt{3}}{2} & \frac{1+\sqrt{3}}{2} & -1 \\ \frac{1+\sqrt{3}}{2} & \frac{1-\sqrt{3}}{2} & -1 \\ -1 & -1 & -1 \end{pmatrix}, \quad TraceFrenet \left( -\frac{\pi}{4} \right) = -1. \quad (19)$$

The corresponding elliptic curve is:

$$C \left( -\frac{\pi}{4} \right) : y^2 = x^3 - \frac{x}{2} + \frac{1}{6\sqrt{3}}, \quad \Delta \left( -\frac{\pi}{4} \right) = -\frac{1}{4}. \quad (20)$$

Secondly, we associate two conics to our linear space  $Sym_0(3)$ . To do this, we recall after [10, p. 13] that the semisimple Lie algebra  $sl(3, \mathbb{R})$  is spanned by the matrices:

$$E_1 = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_2 = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_3 = -E_1^t, \quad D = \frac{1}{6} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}, \quad (21)$$

$$P_1 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad P_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad R_1 = -P_2^t, \quad R_2 = P_1^t, \quad (22)$$

where the subscript  $t$  means the transposition map. The motivation for this choice of basis consists in the presence of  $E_2$  and  $D$  as elements in  $Sym_0(3)$ .

With the approach of [3] a matrix  $\Gamma \in Sym(3)$  defines naturally a conic in the Euclidean plane  $\mathbb{E}^2$  of coordinates  $(x, y)$  through the equation:

$$\begin{pmatrix} x & y & 1 \end{pmatrix} \cdot \Gamma \cdot \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = 0. \quad (23)$$

In conclusion, there are two associated conics:

- i)  $E_2$  is the degenerate hyperbola consisting in the pair of bisectrices  $B_1 : y = x, B_2 : y = -x$ ,
- ii)  $D$  is the circle centered in the origin  $O(0,0) \in \mathbb{R}$  and having the radius  $R = \sqrt{2}$ .

The symmetric matrix (19) yields a hyperbola with the eccentricity:

$$\tilde{\epsilon} = \sqrt{1 + \frac{1}{\sqrt{3}}} \simeq 1.2559. \quad (24)$$

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